

1  
2 (10.15 am)  
3 (Delay in proceedings)  
4 (10.23 am)  
5 THE CHAIRMAN: Good morning. Doctor, thank you for coming  
6 back. Could you come forward, please?  
7 DR IAN CARSON (continued)  
8 Questions from MS ANYADIKE-DANES (continued)  
9 MS ANYADIKE-DANES: Good morning. I want to pick up a few  
10 things with you from last time and then to go through  
11 some of the other issues that we would like you to  
12 assist us with.  
13 The first goes back to questions arising out of the  
14 draft statement, we call it C5, that was attached to  
15 Dr Taylor's deposition to the inquest into Adam's death.  
16 The reference for it is 011-014-107a, if that could be  
17 pulled up, please. I'm sure you're familiar with this  
18 now. The particular part that is of interest on the  
19 question I'm going to ask you now is just literally at  
20 the end of that second paragraph:  
21 "All anaesthetic staff will be made aware of these  
22 particular phenomena and advised to act appropriately."  
23 When I had asked you about that the last time you  
24 were giving evidence on this issue, on 11 June, the  
25 reference for what you said is at page 144. You said:

1 how that might be. The statement itself has a bit of  
2 a history as to how it arises. In fact, there are two  
3 statements. If I can pull up 060-014-024. Let's pull  
4 up 060-014-025. Let's try that. Thank you. That one  
5 will do. Could we pull up next to it 060-018-036?  
6 This is a document which, if you see from the cover  
7 fax on the left-hand side, is being provided by  
8 Dr Murnaghan to the Trust's solicitors.  
9 A. Mm-hm.  
10 Q. "Here is a draft composed today by Dr Gaston [he is the  
11 clinical lead for anaesthetics], Dr Taylor, consultant  
12 involved, Dr McKaigue and subsequently approved by  
13 Dr Crean."  
14 As you know, Dr McKaigue and Dr Crean are senior  
15 paediatric anaesthetists. So these are the consultant  
16 paediatric anaesthetists who will be involved in such  
17 clinical problems in the future and so they've drafted  
18 it. If you took to what's being drafted there it starts  
19 off in much the same way as C5:  
20 "In the light of the Adam Strain case, a number of  
21 renal transplants and the Arieff article, we make the  
22 following recommendations for the prevention and  
23 management of hyponatraemia arising during paediatric  
24 surgery."  
25 And then you have those recommendations, very much

1 "I would have expected the clinical director for  
2 anaesthetics, the clinical director for paediatrics,  
3 myself, the chief executive, Dr Murnaghan and possibly  
4 others to have been signatories almost to that  
5 document."  
6 And I think you were saying that in the context of  
7 if a statement is going to be made like that, tendered  
8 to a coroner to have some sort of evidential value, then  
9 that's how you would like a statement like that to be  
10 authorised; would that be a fair way of summing up your  
11 position?  
12 A. I think any statement issued on behalf of the Trust  
13 would need to have had the authorisation and the  
14 signatory -- the signatures from the chief executive and  
15 senior officers of the Trust, yes. In relation to the  
16 expectation of what was commented on there I would  
17 expect, if you like, the delivery of that and the  
18 operationalisation of that to have been handled within  
19 the directorates, be it paediatrics, be it anaesthetics.  
20 Q. I understand?  
21 A. And the senior officers may not have had hands-on  
22 responsibility there, but a statement issued on behalf  
23 of the trust I think needed to have been passed before  
24 the senior officers of the trust.  
25 Q. Yes. Let's first off deal with the authority for it and

1 the same, the electrolyte imbalance issue and the serum  
2 sodium of less than 128, and operating theatres to have  
3 access to timely reports. Nothing there about all  
4 anaesthetic staff being advised of those issues.  
5 And then if we go back to the genesis of the C5  
6 document, if we pull up 060-019-037, and alongside that  
7 pull up 059-008-025. This is the draft that ended up as  
8 C5. It's distinguishable by that reference in the  
9 second paragraph to:  
10 "All anaesthetic staff will be made aware."  
11 Do you see that? That is missing from the other  
12 document that all the consultant paediatric  
13 anaesthetists approved.  
14 We asked for an explanation of what the standing of  
15 these documents was and we received that explanation  
16 from the DLS itself, but I will come to that in  
17 a minute. The important thing to recognise is that on  
18 the first document you have got the clinical lead  
19 involved and you've got Dr Murnaghan, who was director  
20 of risk and litigation management. He is also involved,  
21 because it's being sent to him, in this version. So  
22 he's there for both versions. Let's pull up  
23 305-020-001.  
24 This is the letter that we got from the DLS. The  
25 first document I showed you, you can see the explanation

1 for that in the second paragraph:  
2 "The recommendations may be considered substantive  
3 in that they were drawn up by the only anaesthetists in  
4 Northern Ireland who were performing such work."  
5 And that relates to transplant surgery or major  
6 paediatric surgery. That's how that was produced.  
7 Then if you look at the draft statement one, which  
8 is the one that got handed to the coroner -- I am  
9 reading from the penultimate paragraph:  
10 "This was prepared as a layman's version of the  
11 above recommendations by the Trust's management in  
12 conjunction with the Trust's solicitor. It remains  
13 labelled 'draft' and its sole purpose was to inform the  
14 media and it was forwarded to the Trust's director of  
15 corporate affairs in June 1995 in anticipation of media  
16 interest at the conclusion of the inquest."  
17 And in fact, we know that it did get released in  
18 some form because I can pull up for you 070-016-073.  
19 That's a press clipping. If you look at the bottom of  
20 the first column:  
21 "All anaesthetists will be made aware of the  
22 possible complications."  
23 So they haven't exactly transcribed it, but that's  
24 the issue that they've got it there.  
25 Then I ask you: if that statement with that

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1 cleared any Trust statement with the chief executive.  
2 He reported and was accountable to the chief executive.  
3 So I think what we've got here is evidence of Trust  
4 operatives not -- you know, and the significance of  
5 this, not referring the matter right to the top of the  
6 organisation. And one could also argue that the  
7 chairman -- I mean, in terms of the public domain, the  
8 chairman of the Trust board should also have been  
9 apprised that an event of such significance was going to  
10 appear in the media.  
11 THE CHAIRMAN: Can I ask you this: in terms of the content  
12 of the statement, do you have any reservations about the  
13 content? Do you want to bring it back up?  
14 A. Well, I'm obviously concerned that the two pieces of  
15 evidence we've seen are draft statements and we've never  
16 seen -- I have yet to see, if you like, what would be  
17 considered a formal statement on behalf of ... So in  
18 terms ... You know, I question the status of the  
19 statements if they're just referred to as draft  
20 documents.  
21 THE CHAIRMAN: The final version which talks about staff  
22 being trained does seem to appear to be the final  
23 version, even though it has the word "draft" at the top  
24 of it, if you ignore the word "draft".  
25 MS ANYADIKE-DANES: I can bring it up, it's 011-014-107a.

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1 commitment was produced in conjunction with the Trust's  
2 management as we're told by the DLS, its solicitors, and  
3 is released to the press, how can that happen without  
4 the Trust's senior management knowing about it in the  
5 way that you indicated to the inquiry you would wish  
6 them to know?  
7 A. My only interpretation, my personal interpretation, is  
8 this is Dr Murnaghan acting on behalf of the Trust  
9 without formal reference to senior officers, namely  
10 myself as medical director, to whom he, in a sense,  
11 professionally reported, and the chief executive.  
12 Q. Does that mean, so far as you're concerned, he was not  
13 authorised to do this?  
14 A. Um ... I think if he's acting on behalf of the Trust.  
15 He should have referred the matter higher in the  
16 organisation.  
17 THE CHAIRMAN: So if it's a combination of Dr Murnaghan,  
18 George Brangam and the doctors who are directly involved  
19 in Adam's case, they might think this is an appropriate  
20 way forward, but they should not be endorsing and  
21 publicising that without you being informed of it? You  
22 might have thought this was an appropriate way forward,  
23 but you might not, but you should have known about it.  
24 A. I think -- once it goes into the public domain, the  
25 director of corporate affairs should properly also have

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1 THE CHAIRMAN: Let's ignore, doctor, the word "draft" at the  
2 top. In terms of what the statement commits the Trust  
3 to do or announces that the Trust will do, do you have  
4 any reservation about the content of it?  
5 A. Without studying it in great detail, no, I wouldn't have  
6 any reservation because I think the intent was good.  
7 And let's remember, I was a practising anaesthetist at  
8 that time, working with children in the cardiac surgical  
9 unit. I can't say that I was fully apprised of what was  
10 happening within the children's environment. Are you  
11 with me?  
12 THE CHAIRMAN: Does that emphasise the point that, whatever  
13 about your management role, as a clinician you're one of  
14 the people to whom this episode should have been  
15 highlighted?  
16 A. Would have had a bearing. I think the intent was good;  
17 the practical application of it was not thorough.  
18 MS ANYADIKE-DANES: Yes. Just to round up that last point,  
19 as the chairman put it to you, leaving aside the fact  
20 that you were medical director, so from that point of  
21 view you should have known about a statement like this  
22 being given as a commitment to the coroner and published  
23 in the press to give the public comfort. But leaving  
24 aside that, actually your discipline was one in which  
25 you ought to have known about this as well. So you

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1 don't know on either limb. Is that, from your point of  
2 view, not a serious issue for you at that time?  
3 A. I think -- I mean, yes, and I would expect that that  
4 would not happen today. I think also that there were  
5 children being anaesthetised throughout the Trust, there  
6 were children who would be having intravenous fluids  
7 elsewhere in the trusts.  
8 Q. And do you think they all should have known?  
9 A. But all of those anaesthetists were members of the  
10 anaesthetic directorate.  
11 Q. Yes, but it didn't get to them because it stayed with  
12 the four consultants whose names I read out to you.  
13 That's exactly the point.  
14 A. No, I accept that and I recognise that. I think the  
15 things that were clouding the issue here were the fact  
16 that it was paediatric renal transplantation, that was  
17 the primary focus. Also, the fact that complex  
18 paediatric surgery of very sick children was going to be  
19 within the domain of the Royal Belfast Hospital for Sick  
20 Children. I think the intent of what they have  
21 expressed in this statement was good intent, but it was  
22 not carried through.  
23 Q. Can I just ask you one final point about that and then  
24 I'll come on to an interesting point that you did  
25 mention, which is what it was thought to be addressing.

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1 Q. And if it had gone from the director of corporate  
2 affairs to the chief executive, it would have had to  
3 come to you because you would be in charge of the  
4 medical aspect of implementing such a commitment.  
5 A. That would be correct.  
6 Q. Yes. Then if we go then to the scope of it, which is  
7 what you were going on to talk about, why it might not  
8 have gone to all the anaesthetists dealing with fluid  
9 management for children, the coroner was giving evidence  
10 yesterday and he was very clear about the significance  
11 of that inquest. In fact, he described it as the most  
12 important inquest he'd done. In his witness statement  
13 for the inquiry, which is 091/1, page 2 -- and I'll pull  
14 it up for you in case you haven't had an opportunity to  
15 read his evidence. There you are.  
16 It says right at the top:  
17 "My understanding was that so far as the  
18 Children's Hospital was concerned, the hospital would  
19 learn from what happened."  
20 That was the first thing he said. And then if we go  
21 to the next page of this, please:  
22 "I had assumed that the Children's Hospital would  
23 have circulated other hospitals in Northern Ireland with  
24 details of the evidence given at the inquest and,  
25 possibly, some best practice guidelines."

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1 If a statement like this appears in the media,  
2 presumably the Trust have a way of tracking statements  
3 that refer to it and its conduct in the media?  
4 A. That would be, I'm assuming, the duties of the director  
5 of corporate affairs who would be responsible for --  
6 Q. The director of corporate affairs already knows about it  
7 because it's already been sent to him. So here the  
8 statement does in fact get into the media and yet your  
9 point is that it's missing a stamp of authority from the  
10 highest level, and so there would appear to be some  
11 disconnect between the director of corporate affairs and  
12 the highest level because it gets into the media and  
13 nobody is querying: what are we doing about this  
14 commitment that has been made?  
15 A. Well, that's a presumption, yes.  
16 Q. Then can I ask you this following on from what you said  
17 about the focus of this --  
18 A. I'm not sure -- sorry, can I interject there? I do not  
19 know what communication would have taken place between  
20 the director of corporate affairs and the  
21 chief executive. I'm not aware of -- I was not party to  
22 it --  
23 Q. But whatever took place did not find its way down to you  
24 as the medical director?  
25 A. And I was certainly not involved, yes.

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1 Here's the point about the wider application that he  
2 goes into:  
3 "Children are not always treated in a paediatric  
4 unit and, in the event of surgery, the anaesthetist may  
5 not be a paediatric anaesthetist."  
6 And what the coroner was pointing to is he had taken  
7 the discussion that was in the Arieff paper that is  
8 cited in that draft statement -- I'm sure you know it --  
9 of 1992, which is not a paper that's addressing  
10 necessarily the dangers of hyponatraemia and low sodium  
11 fluids in post-operative children; it's assessing that  
12 danger generally, particularly in relation to healthy  
13 children. And he had taken that point on and believed  
14 that that was an issue that the Trust had acknowledged  
15 and it was for that reason he thought that the Trust  
16 would develop a broader aspect to it as opposed to  
17 seeing it simply within the confines of the particular  
18 case of Adam that had given rise to the problem.  
19 What I wanted to ask your comment on is: he had that  
20 impression, it's quite clear from what you said that, in  
21 your view, the Trust didn't have that impression, and  
22 I want to pick up an extract from the chief executive  
23 at the time, William McKee's, statement to the inquiry.  
24 It is his statement of 25 June 2005 and the reference to  
25 it is witness statement 061/1, page 2.

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1 It's the answer to (ii):  
2 "It is my understanding that the expert clinical  
3 opinion at the time was that the complication of  
4 hyponatraemia had occurred during specialised renal  
5 transplant surgery in a child with renal failure. I am  
6 not personally aware of wider dissemination of lessons  
7 learnt from this inquest to the wider Health Service in  
8 Northern Ireland and elsewhere in the United Kingdom or  
9 that this was identified to be required at this time."

10 So the chief executive, of course not present, so  
11 he's reliant upon the feedback that he gets from the  
12 inquest, is of the view that it is a narrow question,  
13 and if that's so then one can see why it would only be  
14 something of relevance to those carrying out major  
15 paediatric surgery and therefore that keeps it within  
16 the Children's Hospital.

17 The coroner, on the other hand, believes the lessons  
18 are wider than that and that is one of the reasons --  
19 not only does he think the Children's Hospital will take  
20 certain action, but also one of the reasons why he wants  
21 the case to be published in the academic literature.

22 What I want to ask you is: what system was there to  
23 ensure that whatever are the lessons that are emerging  
24 out of an inquest -- as it happens we're looking at  
25 Adam's -- do accurately get back to the Trust management

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1 say that this should be in place.  
2 THE CHAIRMAN: Can I ask you this: you have just said that  
3 that was the position at the time, but that things have  
4 evolved since then. Is it different now? I know  
5 you have been out of the system for some years, doctor,  
6 but by the time that you left the Trust and then left --  
7 A. I don't think it is explicit, chairman. I don't think  
8 it is explicit. I think we're still dependent on  
9 express, clear instructions from the coroner coming out  
10 of an inquiry. We're also, I think, dependent on  
11 individual clinicians taking back into their clinical  
12 practice changes to their practice and we are also  
13 dependent on a system, a healthcare system, that has  
14 regional responsibility for dissemination of guidance,  
15 instruction, and that sits alongside what I'll just call  
16 a general professional educational information through  
17 publications and literature, through presentations at  
18 clinical meetings, through presentation at national and  
19 other fora. So --

20 MS ANYADIKE-DANES: I see that, but I'm wondering --

21 A. That's not to say that the latter didn't happen. We  
22 know that it does happen.

23 Q. I understand that, but I'm wondering why there couldn't  
24 have been something slightly more formal done. I see  
25 what you say when you refer to how you've got a number

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1 so that they can be incorporated into whatever system  
2 they're going to have for dealing with those lessons?  
3 What system did you have?  
4 A. At that time I do not think there was any system that  
5 would have ensured that findings or verdicts coming from  
6 a coroner's inquest would have found their way to Trust  
7 management.  
8 Q. From a governance point of view, do you not think that  
9 there should have been a system?  
10 A. In the light of developments of governance, that has  
11 evolved and happened, but at that time I do not think  
12 that that was custom and practice or common practice  
13 anywhere within the NHS, not just in Northern Ireland.  
14 Q. I appreciate that, but I'm putting the question to you  
15 in a slightly different way. Given that in inquests  
16 it is possible that the coroner brings in specialist  
17 expertise, there's expert reports, and lessons to be  
18 learnt, did you not think at the time that there ought  
19 to be a system that could be introduced to capture that  
20 learning?  
21 A. I think, yes, that could have been put in place, but I'm  
22 just -- I have to go back to the culture at the time and  
23 the practice at the time and there was certainly no  
24 guidance or instruction either from the Court Service,  
25 the coronial system, or from the Department of Health to

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1 of clinicians who are attending as witnesses at an  
2 inquest and so how to gather together from them what may  
3 be their own individual take, their own individual  
4 interest in these things to distil from that the  
5 learning points, that might require some thought. But  
6 if I use Adam's case just again as an example, you had  
7 your director of risk and litigation management,  
8 Dr Murnaghan, he was present at Adam's inquest, so he's  
9 not attending as a clinician going to give evidence as  
10 a clinician with his own particular perspective; he's  
11 attending as a Trust representative, if I can put it  
12 that way.

13 A. Yes.

14 Q. So he is in a position to, coming back from that, to  
15 decide, "We actually need a system". In fact, he was  
16 halfway there --

17 A. He was.

18 Q. -- because he sent you a little sort of update, a bit of  
19 feedback as to what should happen. We don't need to  
20 pull it up, but just so that you have it, it's his  
21 handwritten note, 059-001-001, going on to 002, and  
22 in that he tells you directly:

23 "I think we need to deal with this as a risk  
24 management issue."

25 And that he's going to put together a seminar and he

16

1 indicates the sorts of people that he wants to attend  
2 that seminar, and of course both the relevant clinical  
3 leads are there, including yourself.  
4 A. Mm.  
5 Q. So there's a germ of something and all I think that  
6 those focusing on the missed opportunities for getting  
7 the message disseminated more broadly is -- how was it  
8 that that couldn't have got itself into a system and,  
9 from what you say to the chairman, still hasn't perhaps  
10 got itself into a system?  
11 A. Well, I think Dr Murnaghan had every intention of using  
12 that as an opportunity to not only bring everybody  
13 involved clinically together to develop a formal  
14 guideline and to disseminate the lessons from the  
15 coroner's inquest throughout the hospital, beyond the  
16 Children's Hospital, including anaesthetists working  
17 elsewhere. It might have been possible, there's nothing  
18 to say that one could not also have disseminated that  
19 learning elsewhere outwith the Royal Group of Hospitals.  
20 One can't say that that might not have happened. At  
21 that time, I think -- and maybe even today -- we made  
22 reference at my last attendance to the role of specialty  
23 advisory committees and the communication up to the  
24 department. That would have been -- and I suspect  
25 that is probably still the main way of communicating

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1 case also gave rise to some concern about the adequacy  
2 of records. It certainly gave rise to concern of fluid  
3 administration and, of course, an untoward event, and  
4 this could have been an avenue to get the very issue  
5 that had been included in that statement to the coroner  
6 into discussion.

7 Ironically, the question of fluid administration,  
8 although of course we don't know in what terms, is  
9 actually being discussed, and this is within six months  
10 of a statement being given to the coroner that that was  
11 an issue that would be circulated within the relevant  
12 anaesthetists.

13 What I'm asking you is: what actual systems did you  
14 have to ensure that the right sorts of issues that were  
15 of concern -- and the fluid administration of Adam was  
16 of concern -- actually get into a system where they can  
17 be discussed and translated into any improved protocols  
18 or practices? Because it doesn't seem to have found its  
19 way into this system.

20 A. Could you scroll down to the -- so I see the very top of  
21 the document?

22 Q. Yes. There we are.

23 A. This is a record of a clinical audit meeting held at  
24 that time, and I think -- and obviously there's an  
25 attendance register.

19

1 with the service. I don't think individual hospitals  
2 within a large trust or a trust itself would have taken  
3 on the responsibility of disseminating guidance to the  
4 service in Northern Ireland.

5 Q. I understand. Then one last document to put to you, it  
6 comes out of Dr Gaston's evidence, and it's witness  
7 statement 013/1, page 4. There has been quite a bit of  
8 discussion in all of these cases in relation to clinical  
9 audit meetings. This one is dated 10 December 1996 so  
10 it's within six months of Adam's inquest. From the  
11 substance of it, this is nothing to do with Adam's case  
12 at all. In fact, we're not sure that Adam's case ever  
13 was subjected to a clinical audit meeting, but be that  
14 as it may.

15 What I wanted to point your attention to is there  
16 are two cases here being discussed. In the first of  
17 which the principal topic that's being concluded from  
18 there is anaesthetic record keeping, but if you look,  
19 you see of the two problems identified, one was  
20 inadequate records and the other is no records at all.  
21 And then you see:

22 "Common areas of inadequate information were to be  
23 found in: drug and fluid administration, and untoward  
24 events."

25 The reason I'm putting this to you is because Adam's

18

1 Q. Yes.

2 A. And I'm going back to points that have been raised  
3 previously, so obviously there's an attendance record  
4 kept. The responsibility for developing the agendas, if  
5 you like, for clinical audit meetings would have been  
6 the responsibility of the audit coordinator within each  
7 directorate. They would gather intelligence about cases  
8 that have happened within the directorate, these were  
9 held usually monthly, so in the previous month or maybe  
10 the month prior to that they'd have gathered knowledge,  
11 awareness of cases, and they would decide which cases  
12 are going to be discussed and presented and you have  
13 seen that within the paediatric directorate.

14 Q. I understand that.

15 A. This happens to be an anaesthetic one.

16 So the Trust is very dependent -- I think this is  
17 one of the things that I think is important to try and  
18 recognise, that the Trust, organisationally, is very  
19 dependent on staff at directorate levels compiling audit  
20 agendas, educational agendas. Reference was made last  
21 time to my responsibility as medical director for  
22 education. My responsibility is to ensure that our  
23 obligations to the postgraduate council and to the  
24 university are fulfilled. I'm not involved in the  
25 detail of compiling educational or audit agendas.

20

1 Q. I'm not suggesting that you should be involved in what  
2 I would call this micro-level.  
3 A. I understand.  
4 Q. I'm not suggesting that at all and I hope that I had  
5 made it clear last time that I'm talking about systems  
6 and procedures. So at some level, because you're the  
7 medical director, you have to have a way of knowing that  
8 these things are working. And if they're not working,  
9 that it comes to your attention that they're not  
10 working, and steps can be taken to try and improve  
11 matters. And all through these things what I have been  
12 trying to find out is: what was the oversight system  
13 that you had of knowing that these things were or were  
14 not working?  
15 A. I had no indication that I can recall of am aware of  
16 that these systems of audit meetings, of educational  
17 fora, were not taking place and doing what they were  
18 expected to deliver. I had no indication of that.  
19 I had also no system to robustly provide any assurance  
20 to my -- so I had no awareness that these activities  
21 were not taking place.  
22 Q. Can I ask you in present day then? So  
23 contemporaneously, you don't know whether -- unless  
24 you're told -- a clinical audit meeting has taken place,  
25 it has been successful or not. But when --

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1 dependent on that activity taking place. It has to be  
2 emphasised again that, in 1996, as Trust medical  
3 director, I did not have an infrastructure, I did not  
4 have staff working for me to deliver what you're calling  
5 a robust governance arrangement. That took many years  
6 to develop and it is still developing.  
7 Q. Well, for example, and we'll move on, but for example  
8 it's not clear that Lucy's case was ever the subject of  
9 a clinical audit meeting, and she died in 2000.  
10 A. And I think the two latter cases -- I think there are  
11 issues in relation to those --  
12 THE CHAIRMAN: I've got the point.  
13 A. -- that maybe explain that.  
14 THE CHAIRMAN: I've got the point and to some extent we're  
15 going over ground that was covered both in Adam's  
16 hearing and Claire's hearing, so let's move on.  
17 MS ANYADIKE-DANES: In Raychel's case, one of the ways in  
18 which Lucy's case came to light as a hyponatraemic  
19 death, if you like, was because after Raychel had had an  
20 inquest, the results of that were presented to the  
21 Western Health and Social Services Council. I can just  
22 pull up the first page of a letter that shows you that  
23 structure. 013-056-320.  
24 In fairness, let me pull up the second page, 321, so  
25 you see who you're dealing with. This is

23

1 THE CHAIRMAN: In present day, Dr Carson has been out of the  
2 system for some years. With all due respect to  
3 Dr Carson, I think it's factually correct we can't ask  
4 you about the current day position; is that fair or not?  
5 A. I'm not aware of what's happening in the Belfast Trust  
6 now. I can't comment on today. But at that time --  
7 MS ANYADIKE-DANES: I'm not going to take you out of when  
8 you were there. What I'm going to ask you is: when it  
9 becomes clear to you -- and it's actually become clear  
10 in the course of this inquiry -- that certain of these  
11 deaths don't appear to have been subject to a clinical  
12 audit meeting. If in your time that had become clear to  
13 you and there was a concern that a child whose death, to  
14 all intents and purposes, should have been the subject  
15 of clinical audit, it wasn't or it was the subject of  
16 clinical audit but not a very effective one. What is  
17 the system that you have for dealing with that?  
18 A. If I remember, this varied from directorate to  
19 directorate. My understanding from reading statements  
20 and transcripts of this inquiry in relation to the Royal  
21 Belfast Hospital for Sick Children, statements have been  
22 made to the inquiry that every death was discussed at  
23 morbidity and mortality meetings in the Children's  
24 Hospital. My understanding is that was roughly 30  
25 children a year, whatever the quantum was. So I am

22

1 Mr Stanley Millar, the chief officer of the Western  
2 Health and Social Services Council. He was supporting  
3 Lucy's parents through her death and their effort to  
4 find out what had happened. And the inquest into  
5 Raychel's case -- the members of the Western Health and  
6 Social Services Council received a briefing of that, on  
7 all the events that led up to Raychel's case, and it's  
8 when he heard the details of Raychel's case that he was  
9 able to make a connection with the case that he did know  
10 about, which was Lucy's case, and that's what he did,  
11 and when he made that connection he wrote to the  
12 coroner.  
13 What I wanted to ask you is: so far as you were  
14 aware, when you were involved in the Royal, was there an  
15 equivalent to the Western Health and Social Services  
16 Council?  
17 A. Yes.  
18 Q. What was that?  
19 A. Each of the four area boards would have had a Health and  
20 Social Care Council, which was a patient  
21 representative -- a patient advocacy group. So each of  
22 the four health boards had a council, which assisted  
23 families, primarily in the area of complaints, but other  
24 support was available as well.  
25 Q. Yes. And is that somewhere where, for example, any of

24

1 these other children during your time whose death had  
2 been the subject of a complaint, is that somewhere where  
3 that could have been taken?  
4 A. I would not have been aware -- at least to the best of  
5 my knowledge I'm not aware of a systematic approach  
6 whereby trusts would communicate with the councils about  
7 incidents, adverse events or deaths taking place within  
8 the trust. The councils were there primarily to support  
9 and provide information and assistance to families. It  
10 was an avenue through which families could obtain  
11 information from the provider organisations.  
12 Q. I understand. Thank you very much. Then can I move on  
13 and take up a different subject? If I could pull up  
14 your witness statement, 306 --  
15 A. Sorry, could I maybe just add to that? I do recall on  
16 a small number of occasions meeting with representatives  
17 from the Eastern Health and Social Care Council, within  
18 which the Belfast Trust -- and those would have been ...  
19 It would have been a, "Hello, how are you, what's  
20 happening?", and they might have raised issues with us  
21 around excessive waiting times, for example, in A&E  
22 departments, or issues that were being raised by  
23 families in relation to how they were being looked after  
24 in the organisation. I can only think of one or two  
25 occasions in my tenure that such an opportunity or an

25

1 again to senior medical staff here, and I am talking  
2 principally about the consultant in charge of the case  
3 management of the patient, I'm talking about a clinical  
4 director in an organisation within the context of  
5 paediatrics. I would have expected them to have  
6 communicated along the lines that I've outlined in the  
7 statement. It would have been a professional  
8 expectation.  
9 It would also have been an organisational  
10 expectation, even if that was not written down as  
11 a definitive instruction or guidance. People working in  
12 a hospital such as the Royal where regional referrals to  
13 a regional centre were commonplace, I would have  
14 expected a consultant who had a patient referred to them  
15 to have had a continuing and an open communication with  
16 the referring consultant. And again, the issues  
17 pertinent to this particular case I would have expected  
18 senior members of staff to have known what steps to take  
19 following an outcome such as this.  
20 Q. I understand the point that you make in terms of the  
21 professional expectations, that would just be a good and  
22 sensible thing to do from one clinician to another, if I  
23 can put it that way, but when you talk about an  
24 organisational expectation, where are these senior staff  
25 to understand that as an organisational expectation?

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1 engagement took place. Obviously, the whole agenda for  
2 patient and client engagement has changed a lot in  
3 recent years and the role of the patient and the  
4 advocacy for the patient and the opportunity for  
5 patients to have their voice heard, that has changed  
6 quite a lot since the mid-1990s.  
7 Q. Thank you. Then if I pull up witness statement 306/1,  
8 page 7. You refer to expecting the Sperrin Lakeland  
9 Trust, the coroner, and the associate medical director  
10 to have been informed. I think it's the answer to 14:  
11 "From your perspective as medical director at that  
12 time, what steps would you have expected senior medical  
13 staff in the Children's Hospital to have carried out in  
14 order to investigate the circumstances and cause of  
15 Lucy's death?"  
16 The steps that you think should have happened are:  
17 "To inform the referring clinical colleagues in  
18 Sperrin Lakeland Trust. To inform the office of  
19 HM Coroner. Inform the office of the associate medical  
20 director in the Royal."  
21 Can I ask on what basis you had that expectation?  
22 A. My expectation would have been, one, a professional  
23 expectation that senior medical staff would have  
24 undertaken that professional responsibility to have  
25 undertaken it. I think -- and again, we're referring

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1 A. I think senior medical staff in the organisation knew of  
2 not just their own professional responsibilities, but  
3 they would have understood the structures that were in  
4 place at that time within the trust. As I said,  
5 I cannot recall that there would have been any  
6 definitive instruction or guidance written down as to  
7 what steps a doctor would take in an individual  
8 circumstance. I think these are general expectations  
9 that would have been expected of any senior member of  
10 staff.  
11 Q. Let me put it to you in this way because  
12 Professor Scally, as the inquiry's expert, has put it  
13 like this: he agrees with Dr MacFaul, who's also an  
14 expert for the inquiry, that:  
15 "The Children's Hospital should have informed  
16 Sperrin Lakeland Trust in a formal manner and that this  
17 requirement arises out of a general obligation in the  
18 case of a death that may have been caused by inadequate  
19 treatment and is reinforced by the Children's Hospital's  
20 role as a regional centre of excellence."  
21 The reference for that is 251-002-017.  
22 So he has not just that the -- clinician to  
23 clinician, if I can put it that way, should have  
24 informed, but in his view the Children's Hospital should  
25 have informed the Sperrin Lakeland Trust in a formal

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1 way. Firstly, do you agree with that?  
2 A. Um ... I do not recall and I do not think there was  
3 a formal mechanism in place at that time in  
4 Northern Ireland for that to be carried out or conducted  
5 by the Royal Belfast Hospital for Sick Children.  
6 I think communication, formal communication from the  
7 trust to another trust, would have needed to be at  
8 a senior level within the organisation, either through  
9 my office or through the office of the chief executive.  
10 Q. Yes, but do you see any reason why that couldn't have  
11 happened?  
12 A. In that I didn't know about the death of the child?  
13 Q. No, the question to you is: do you see any reason why  
14 there shouldn't have been a formal communication? So  
15 assuming you had been advised of the fact of the  
16 circumstances of Lucy's death and that she had received  
17 treatment that some of your clinicians considered to be  
18 inadequate and that that had contributed to her  
19 condition, do you see any reason why you, on behalf of  
20 the Trust, couldn't have written a formal letter to  
21 Sperrin Lakeland?  
22 A. There is no reason why that could not have happened.  
23 Q. Would you have thought it appropriate?  
24 A. It might have been appropriate. I did not know about  
25 the --

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1 more fluid than you planned. It's not clear, however,  
2 how much things went beyond that, if they went beyond  
3 that at all. That's the opening of the door.  
4 A. That's the weakness of the system as it pertained. One,  
5 I've said quite clearly, there is a professional  
6 responsibility for doctors to communicate, but if the  
7 doctors don't themselves communicate organisationally  
8 within the system, then the system side of that is  
9 weakened by clinicians not referring to senior officers  
10 within the organisation. And I would have expected  
11 that, as I said, any formal communication from the Trust  
12 should either have gone chief executive to  
13 chief executive or medical director to medical director.  
14 MS ANYADIKE-DANES: Yes. Do you think in circumstances  
15 where an individual clinician may not entirely want to  
16 phone up his opposite number or co-colleague and say, "I  
17 think you've dropped the ball here", that a more formal  
18 communication might be an easier way of doing it?  
19 A. I think it's not only an easier way, but a better way of  
20 doing it because it actually formalises it.  
21 THE CHAIRMAN: Does this bring us back to the problem that  
22 you put your finger on last time, which is in the  
23 phrasing that you used at that time, "We advertised our  
24 successes, not our failures", and therefore to write in  
25 any sort of formal way to the medical director in the

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1 Q. I appreciate that.  
2 A. -- the death until much later. I ...  
3 Q. The reason being that Professor Scally is highlighting  
4 that you're the regional centre of excellence, your  
5 clinicians, with their greater experience of these  
6 things, have come to a view, which, for all they know,  
7 those in the referring hospital may not have been aware  
8 of that the fluid regime that was instituted for Lucy  
9 was one that was not appropriate for her. So you  
10 communicate as a learning exercise and from your  
11 position as the regional centre of excellence. That is  
12 the context in which Professor Scally was thinking you  
13 might do that, and whether or not you'd ever done it  
14 before, what I'm putting to you is: would you agree that  
15 that might have been a good thing to do and something  
16 that could have been done?  
17 A. I think in this case that could have been done, it  
18 probably should have been done. I also think that in  
19 a sense -- and I'm trying to recall from Dr Crean's  
20 evidence to the inquiry that he in fact did communicate  
21 at a personal level with clinicians --  
22 Q. He did indeed.  
23 A. -- and raised issues.  
24 THE CHAIRMAN: He spoke to Dr Jarlath O'Donohoe, in effect  
25 to say: it rather looks as if this young girl received

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1 Erne to say, "Look, your people really have serious  
2 questions to answer" ...  
3 A. Well, whenever I ... "The culture at that time was that  
4 you would not advertise your failures" pertains to maybe  
5 a case that was being badly managed within your own  
6 organisation. I think whenever a case is referred to  
7 a centre like the Children's Hospital for an expert's  
8 intervention or more skilled interventions, then I think  
9 that feedback is easier to do. I have to say that the  
10 culture -- there were lots of cultural issues at that  
11 time and, while many of those have changed, you asked  
12 me, chairman, can I acknowledge how things have changed.  
13 We see through Mid Staffs that those cultural issues  
14 still exist in the service as a whole, so while things  
15 have improved, one cannot absolutely give a blanket  
16 assurance that things will not happen again.  
17 THE CHAIRMAN: Mr Leckey said yesterday that a main reason  
18 why he thinks things have improved is that families now  
19 are more questioning.  
20 A. Absolutely.  
21 THE CHAIRMAN: It might reassure you, doctor, that they're  
22 more questioning of lawyers too, but people are more  
23 questioning generally, and if you ask questions  
24 sometimes the questions turn out to be a bit silly and  
25 can be easily responded to; sometimes the questions

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1 raise difficult issues that people don't feel  
2 comfortable facing up to.  
3 A. While the culture has in many ways changed and improved,  
4 chairman, I think that very questioning, that very  
5 challenging environment that we're now in today, whether  
6 it comes from families, whether it comes from families'  
7 solicitors, whether it comes from coroners' inquests,  
8 whether it comes from the clinical negligence High Court  
9 scenario, whether it comes from public inquiries, that  
10 has not made the culture necessarily any easier for  
11 individual clinicians, for people involved in  
12 management. So we're in a difficult -- while things  
13 have improved and I think that's good and I hope that  
14 during my time within the Trust that I made every effort  
15 to try and stimulate and create improvements, I still  
16 think the situation is very difficult currently.  
17 MS ANYADIKE-DANES: Doctor, you've clearly thought about  
18 that issue, the cultural point and what are the drivers  
19 and triggers for that. Have you reflected on what  
20 trusts could do to try and facilitate what is  
21 a development, it may be pushed or dragged, but  
22 nonetheless is a movement? Have you reflected on what  
23 the trusts might be able to do?  
24 A. Well, I think a huge effort was made by the Royal Group  
25 of Hospitals Trust and by other trusts, I have to say,

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1 quality agenda. But while that enthusiasm is good, it  
2 doesn't necessarily deal with all the complex issues or  
3 the complex systems and processes that needed to be put  
4 in place.  
5 Q. No, and what it requires, though, is an environment  
6 where clinicians and nurses can concede that errors are  
7 made and concede them in such a way that others can  
8 understand how those errors got made so that changes can  
9 be made. Even if that requires other clinicians being  
10 able to point to the errors of their colleagues, somehow  
11 to create an environment where errors can be  
12 acknowledged and faced up to. That's at the heart of  
13 what has to be conceded, is it not?  
14 A. That is correct, and there has been a huge focus on that  
15 over the last 10 or 15 years. Sitting alongside that,  
16 however, there is a culture of fear --  
17 Q. Yes.  
18 A. -- within the profession. And as long as there's  
19 a culture of fear and retribution or whatever that is,  
20 where loss of professional reputation, even removal from  
21 the professional registers, et cetera -- as long as that  
22 culture exists -- and I believe that needs to change,  
23 I personally believe that firmly needs to change -- then  
24 we will find this journey into a more open and  
25 transparent culture -- until we address that issue,

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1 in Northern Ireland to try and change the culture within  
2 their organisations, first of all, and they did that  
3 through various seminars, conferences that colleagues  
4 from other organisations were often invited to attend,  
5 and they were multi-disciplinary; it wasn't just  
6 doctors, we're talking about nurses and other clinical  
7 professionals, all being involved in this. I think  
8 there was what I'll call an enthusiasm and an excitement  
9 for the quality agenda as it emerged in the early 1990s  
10 and through into the mid-1990s. I think clinicians  
11 actually felt here was something that they could make  
12 a contribution to. And I think trusts did try very  
13 hard -- and I think, to a certain extent, quite  
14 successfully about changing aspects of culture within  
15 the quality agenda and a more open and not just -- and  
16 trying to move away, chairman, from what I said,  
17 advertising your failures to actually building on your  
18 failures to build systems and processes. And that was  
19 shared in Northern Ireland.

I can recall conferences that were held in the  
Waterfront Hall, a very significant conference that was  
organised, I think, by Green Park Trust on the whole  
area of developing clinical governance, and it was very  
widely attended by clinical staff from right across the  
Province. So I think there was an enthusiasm for this

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1 I think we're going to have difficulty getting to where  
2 we would like to be.  
3 Q. The benefit of the carrot is outweighed by the threat of  
4 the stick?  
5 A. Very much so.  
6 Q. Thank you. Then just one particular point in relation  
7 to reporting to the coroner's office. I think you said  
8 in your witness statement, 306/1, page 3, that it was  
9 your expectation that if the coroner was notified about  
10 a death, Dr Murnaghan or Dr Walby would be informed by  
11 the responsible consultant.  
12 A. Mm-hm.  
13 Q. It's the answer to question 1(e). On what basis did you  
14 have that expectation and how was that communicated so  
15 that clinicians would know that's what they had to do?  
16 A. The communication would have been -- I don't think there  
17 was any written communication or any written guidance on  
18 this. Induction meetings for new staff would certainly  
19 have addressed that and would have mentioned the fact  
20 that the expectation would be that if a case had been  
21 referred to the coroner, that Dr Murnaghan's and  
22 Dr Walby's office should be informed, and that ... So  
23 that would have been how that would have been  
24 communicated. The expectation is, I suppose, again, not  
25 earthed in any instruction or formal guidance. I don't

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1 think there would have been any clinician in the Trust,  
2 any doctor in the organisation at a senior level or even  
3 at a junior level, that would have not known what the  
4 role and purpose and function of Dr Murnaghan's  
5 office -- what it was there to serve, what the purpose  
6 of his office was.

7 Q. Sorry, one of the reasons I asked you that is because  
8 Dr Hicks, who was a paediatric clinical lead, didn't  
9 have a system for knowing all the reports of paediatric  
10 deaths, that's the first thing. And if she didn't have  
11 a system for it, she certainly didn't know and  
12 appreciate that they should be communicated to either  
13 Dr Murnaghan or Dr Walby.

14 A. I fail to understand how Dr Hicks could have that --

15 Q. You said that last time when I asked you. What I was  
16 going to build on then to say is: if there was supposed  
17 to be a system like that, so this is information that  
18 was supposed to be transmitted during induction,  
19 presumably, you would imagine, be reinforced by clinical  
20 leads who you would expect to have a system, I presume,  
21 where they knew what was being reported, how did you  
22 satisfy yourself that that was working or for that  
23 matter how would Dr Murnaghan have satisfied himself  
24 that that was working?

25 A. Well, I suppose there was regular and frequent

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1 evidence yesterday is his concern, essentially about the  
2 quality of information that is provided from the  
3 clinician to his office, and as you might have  
4 appreciated, at that time there was no medical -- by  
5 "that time" I mean right up until you left as medical  
6 director -- there was no medical adviser in the coronial  
7 office so those who were taking the reports of deaths  
8 had no medical knowledge in particular, no medical  
9 education, and they were heavily dependent on the  
10 clinicians giving them the appropriate information so  
11 that they could provide that to the coroner and the  
12 coroner could make his decisions as to whatever he  
13 thought was appropriate to do next.

14 Yesterday, the coroner was raising a concern -- and  
15 he had also taken it up with Professor Jack Crane at the  
16 State Pathologist's office in the same vein -- about the  
17 quality of information, medical information, that was  
18 being provided. So if I turn it back to you then at the  
19 Trust: what system did you have for monitoring that your  
20 clinicians were providing quality information to the  
21 coroner so that they were being able to properly  
22 discharge their statutory obligations?

23 A. The medical director's office did not have a system to  
24 carry that out. I think there was the -- there would  
25 have been opportunities within the context of clinical

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1 communication between the coroner's office and  
2 Dr Murnaghan's office. I suspect that, if you like, the  
3 flow of communication would have been more from the  
4 coroner's office to Dr Murnaghan to say, "Dr Murnaghan,  
5 I've had a case referred to me last night, a patient had  
6 died, could you gather evidence, statements, witness  
7 statements on my behalf from the clinicians".

8 Q. I can see if it gets taken up to that level because he  
9 would certainly be involved.

10 A. Dr Murnaghan would then go back to the clinicians  
11 involved and say, "You didn't ring me or tell me that  
12 this patient had -- this death had occurred", and he  
13 would rap knuckles. Dr Murnaghan was not slow at doing  
14 that and his reputation within the Trust -- and  
15 I can't ... Dr Walby -- I retired not long after or  
16 left the trust, I should say, not long after Dr Walby  
17 was in place. So the function of that office was well  
18 understood in the organisation.

19 Q. Thank you. Then the coroner produced a statement,  
20 277/2, page 5, he refers to a concern, you can see it  
21 under 4:

22 "It remains my concern that when the death of  
23 a child is reported to my office, the proper questions  
24 may still not be asked."

25 How that became developed when he was giving his

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1 audit in any individual clinical directorate to do an  
2 audit of cases referred to the coroner's office and  
3 thereby learn lessons in relation to the quality of  
4 data, but formally, as an organisation, structurally  
5 there was no system other than what might have taken  
6 place through what we'll call the clinical audit system.

7 Q. Were you aware that audits of information like that were  
8 done from time to time, just to keep a check, if I can  
9 put it that way, on how that reporting system was  
10 working?

11 A. I'm not aware, to be quite honest. I can't recall, but  
12 I can't ... It would be quite feasible for that to  
13 have -- I can't recall whether it did or did not take  
14 place.

15 Q. There's another aspect that the coroner dealt with  
16 yesterday. I raised it with him yesterday, which is  
17 a potential tension that might exist between, on the one  
18 hand, the role of the director of risk and litigation  
19 services -- who was Dr Murnaghan or the associate  
20 medical director in the litigation management office,  
21 which was Dr Walby -- their role was in managing and  
22 avoiding litigation or at least containing it, if I can  
23 put it that way, in the interests of the Trust, and also  
24 being part of the way in which relevant medical  
25 information got to the coroner in a transparent manner.

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1 And I think he acknowledged that there was a potential  
2 for tension in there. Do you see that yourself?  
3 A. I would recognise that also, that potential tension.  
4 I think it is pertinent, however, to contextualise how  
5 this arose. As we all know, in the late 60s/early 70s,  
6 1980s, the Royal Victoria Hospital in particular was  
7 very much in the front line of what we call The  
8 Troubles. And there would have been many incidents  
9 linked with our civil unrest that either came to the  
10 Royal for treatment or whatever, including many  
11 fatalities. And at that time, and as is frequent  
12 elsewhere, coroner's officers who were quite often in  
13 England, quite often retired policemen, and in  
14 Northern Ireland the coroner's office used what was then  
15 the RUC. It was very difficult for members of the  
16 security forces to come and take statements, to visit  
17 the scene of a death in a hospital situation at that  
18 time. I mean it was not -- I can recall at that time  
19 individual members of the RUC, flanked by soldiers  
20 wearing flak jackets, carrying weaponry, walking down  
21 the main hospital corridor going into a ward to get  
22 a statement from a junior nurse, a very threatening and  
23 intimidating environment in any situation.  
24 So I think an accommodation was reached between the  
25 coroner's office and Dr Murnaghan that Dr Murnaghan

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1 Dr Murnaghan's probity -- Dr Murnaghan was pretty  
2 ruthless in trying to ensure that there was clear  
3 separation here. He acted, I think, very -- with due  
4 diligence on behalf of the coroner. And he made that  
5 pertinently clear, I know, to clinical staff, doctors,  
6 nurses and otherwise.  
7 Q. Yes. Dr Carson, I'm talking about the systems and  
8 structures that are in place. So if you have a system  
9 whereby the same person who is there with the title to  
10 deal with litigation, but is also there as the collector  
11 of information and provider of information to the  
12 coroner, some of which information might actually have  
13 an impact on the litigation -- and in fact in Adam's  
14 case litigation had already started by the time the  
15 inquest hearings were commenced, so in that situation  
16 you don't need an inquiry like this to see that there is  
17 a potential, or there is a tension in that dual role.  
18 In fact, you've acknowledged that you can see that there  
19 is one. What I was asking you is: recognising that,  
20 what could be done to try and ensure that the needs of  
21 the one didn't, if you like, compromise the requirements  
22 of the other?  
23 A. I can only respond to that by saying at that time that  
24 awareness, that was not ... We were not conscious of  
25 that conflict at that time.

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1 would act on behalf of the coroner to ensure that  
2 statements were obtained and provided and patient  
3 records and everything gathered, secured, on behalf of  
4 the coroner. That was the context within which the  
5 arrangement arose. But I can appreciate that the dual  
6 responsibilities or activities of Dr Murnaghan's office,  
7 as well as other things that he was involved in, had  
8 potential, but it was a needs must and the resources  
9 that we had at that time to try and handle issues like  
10 that.  
11 Q. Well, one might say there was a potential conflict in  
12 a way by him having those two roles. If it was  
13 recognised that there was that tension, what, from  
14 a governance point of view, was it thought could be done  
15 to try, insofar as it could be put in place, a system to  
16 ensure that the needs of protecting the Trust didn't  
17 outweigh the obligations to assist the coroner? That  
18 must be something that was discussed.  
19 A. Well, it wasn't.  
20 Q. Well, maybe not formally, but it must be something that  
21 was recognised.  
22 A. I don't think it was recognised. I think that has  
23 emerged in the context of the inquiry itself. I don't  
24 think it was as evident as you're suggesting. I have to  
25 say, my knowledge professionally and also in terms of

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1 Q. Thank you. Then if I move on and ask you about --  
2 sorry, did that ever change and that structure separate  
3 out?  
4 A. Not during my time as Trust medical director, and I'm  
5 not sure what has happened subsequently in the  
6 Belfast Trust.  
7 Q. By the time you left, and that system was still in  
8 place, was the rationale for it still present? You have  
9 described the history as to how it came about.  
10 A. I think we had moved into a period of relative calm.  
11 Q. So was there any reason why those two roles couldn't  
12 have been separated out?  
13 A. They could have been separated out, yes, but we had no  
14 awareness, certainly during my time, that the system was  
15 inappropriate. We had no sense that there was a tension  
16 or a conflict there. Let me put it to you: it had never  
17 been raised to me either by clinicians within the Trust,  
18 who might have felt that, nor was it raised with me by  
19 the coroner.  
20 Q. Thank you. Then if I ask you about the death  
21 certificates. It's a similar structure really in terms  
22 of systems and processes. So far as you're aware, were  
23 audits done of the accuracy of death certificates or  
24 death certification, I should say?  
25 A. I honestly cannot recall. But a bit like information on

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1 cases referred to the coroner's office, there's  
2 absolutely no reason why that could not have been  
3 carried out within the clinical audit arena.  
4 I personally can't recall either it being formally  
5 requested to be carried out or it being voluntarily done  
6 within a clinical directorate audit programme.  
7 Q. These matters that I've put to you, this is perhaps  
8 a second or third when you've acknowledged that there  
9 wasn't any reason even at that time why it couldn't be  
10 done. In terms of those initiatives, in your structure,  
11 do you expect the clinical leads to institute those  
12 initiatives? Is that how it works, they have that level  
13 of autonomy, they do that and report to you, or how  
14 would it have worked?  
15 A. Well, as medical director I would have been very  
16 dependent on the initiative taken by clinical directors,  
17 audit coordinators, educational supervisors within the  
18 individual directorates to take initiatives like that  
19 forwards.  
20 Q. Yes, I understand that, but I'm trying to see where the  
21 responsibility lies for developing them. You were  
22 a practising clinician yourself, so you're just as able  
23 to see in that context things that might improve  
24 matters, but when you sit as medical director, are you  
25 expecting your clinical leads to have those initiatives,

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1 least the preliminary autopsy results, before issuing  
2 a death certificate. I think you may know by now that  
3 Professor Lucas has his concerns about that. He thinks  
4 that's inappropriate and what should happen first is  
5 a clinician should institute or issue, if he can,  
6 a death certificate. If he can't, then it's reported to  
7 the coroner. But if he can, he issues a death  
8 certificate and if he wants to know a little bit more  
9 about the mechanism of death, then if the family are  
10 content or consent, rather, then there can be a hospital  
11 autopsy. That's what he regarded as the natural order  
12 of things, and it's in his report at 252-003-011.  
13 To that extent, Dr Crean had a similar view: you  
14 either can issue your death certificate or you can't,  
15 and you shouldn't be using the post-mortem process as  
16 a way of enabling you to issue your death certificate.  
17 That was his view in his evidence.  
18 Against that, though, is a view from Dr Keeling,  
19 who's also an inquiry expert, and she was of the view  
20 that it might be possible to wait at least for the  
21 preliminary report back.  
22 I don't know if you've had an opportunity to see  
23 that comment from Professor Lucas.  
24 A. I can't recall it, to be quite honest. But I was --  
25 I've heard it referenced. I was surprised, I have to

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1 develop them and report to you? What's the process for  
2 that kind of improvement?  
3 A. I think what you look for in an organisation is  
4 leadership which stimulates these sorts of agendas,  
5 whether that is a clinical director or an audit  
6 coordinator. So internally within the organisation, you  
7 try to appoint people to these roles who are  
8 enthusiastic, motivated and will pick up a wide range of  
9 initiatives. So that's the internal side of it. But  
10 you also feed into that anything that might come from,  
11 at that time, area audit committees or regional audit  
12 committees where maybe there were specific themes that  
13 were felt appropriate for individual trusts to look at,  
14 either separately or collectively. So you're looking  
15 for motivated, enthusiastic leadership to develop  
16 energetic programmes that are going to capture the  
17 engagement of individual clinicians and say: that was  
18 really good audit meeting, we covered a lot of very  
19 useful -- and we've changed practice as a consequence.  
20 Q. So they have the autonomy?  
21 A. They have autonomy to do that, but it can also be  
22 influenced by external agendas, be they Northern Ireland  
23 initiatives or issues that were coming out nationally.  
24 Q. Thank you. And then if I turn to something that arose  
25 in relation to the practice of awaiting the result, at

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1 say, at his comment. Professionally, as a doctor, I was  
2 surprised at his comment and I would have tended more to  
3 support Dr Keeling's view. Yes, by all means if  
4 you have all the information that's pertinent to enable  
5 you to issue a death certificate, a death certificate  
6 should be issued. If you have any doubts, it's where  
7 doubt arises or information is not fully available to  
8 the doctor who is signing the death certificate, then  
9 I think it does raise issues about getting the  
10 appropriate process in place.  
11 Q. The question I was going to ask you is not so much to  
12 comment on that aspect of it as a micro issue, although  
13 of course you can, but your witness statement was to the  
14 effect -- it's at 306/2, page 2, which is:  
15 "In [your] view, it was common practice not to issue  
16 the certificate until the preliminary autopsy results  
17 were known."  
18 A. Yes.  
19 Q. That was the part that I wanted you to help us with, if  
20 you can, which is: what, so far as you're concerned, was  
21 the origin of that common practice at the Royal?  
22 A. I don't know what the origin of it was, but given that  
23 there was a mortuary, a department of pathology, that  
24 the State Pathologist, the forensic pathology department  
25 was located in the Royal, in that pathologists in the

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1 Trust not infrequently attended morbidity and mortality  
2 meetings, given that the proximity of pathologists to  
3 clinicians who were seeking either a consented hospital  
4 post-mortem or making a referral to the coroner for  
5 a coroner's post-mortem, I think that the very proximity  
6 of having pathologists conveniently available maybe led  
7 to the fact that a post-mortem would be carried out and  
8 a death certificate awaited the outcome of that  
9 post-mortem. We're not talking about something that  
10 took two or three weeks to take place, this was usually  
11 done within 24 hours of the deceased. So it was,  
12 I would have thought, quite common for a clinician to  
13 speak to the pathologist or the pathologist to speak to  
14 the clinician after a post-mortem and say, "Yes, I can  
15 confirm", or, "No, I cannot confirm", so I would have  
16 thought that that's the origin of it.

17 Q. Let me just pull this up for you. This is the  
18 guidelines from the Royal College of Pathologists.  
19 319-025bc-015. Thank you very much. Under "Consented  
20 post-mortem examination", the second paragraph:

21 "If you agree to a consented post-mortem  
22 examination, the doctors will issue the medical  
23 certificate of death before the post-mortem so that you  
24 can proceed with the arrangements for the funeral."

25 So that was the pathologist's position from there,

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1 to Lucy] the steps that had been taken at the Royal  
2 Belfast Hospital for Sick Children to implement  
3 a clinical governance and/or risk management strategy."

4 And what you say is:

5 "The development and implementation of clinical  
6 governance and risk management strategies were  
7 trust-wide. Arrangements in the Children's Hospital  
8 were no different from those conducted within other  
9 clinical directorates, and responsibility for local  
10 implementation lay with the management team in the  
11 paediatric directorate. While some elements of  
12 governance and risk were in place even before the Trust  
13 became a legal entity, it was recognised that  
14 arrangements required further development, organisation  
15 and resources. In many aspects there was an absence of  
16 regionally-approved guidance."

17 I wonder, can you help us with what you mean by the  
18 second paragraph in answer to that question?

19 A. That some elements were in place?

20 Q. You say:

21 "While some elements of governance and risk were in  
22 place even before the Trust became a legal entity, it  
23 was recognised that arrangements [I'm just not quite  
24 sure what you meant by 'arrangements'] required further  
25 development, organisation and resources and that there

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1 the guidelines. But do I understand you to say that  
2 you're not entirely sure where the practice originated  
3 from, but that was the practice all the time you were at  
4 the Royal?

5 A. Well, I can't give an assurance that that was what  
6 happened in every case, but I would have said that  
7 it would have been common practice to await the outcome  
8 of a post-mortem. I mean, the situation in  
9 Northern Ireland is very different from the situation in  
10 England where there might be a considerable delay from  
11 death to burial or cremation. The custom and practice  
12 in this part is that burial follows very shortly after  
13 death. So there would have been information coming back  
14 following a post-mortem that would have been readily  
15 available to enable the hospital to release a body after  
16 a post-mortem for burial by families. So I personally  
17 wasn't aware of this statement from the Royal College of  
18 Pathologists.

19 Q. Thank you. Then if I can just move on to take up  
20 a particular point in relation to adverse incident  
21 reporting and critical incidents. It's a point from one  
22 of your witness statements; perhaps you can clarify it  
23 for us. It's 306/1, page 5. It's the answer to 6. The  
24 question that's put to you is:

25 "By April 2000, specify [so this is all in relation

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1 was an absence of regionally-approved guidance."

2 If we leave aside the absence of regionally-approved  
3 guidance, what were the arrangements that from your  
4 perspective required further development and  
5 organisation?

6 A. Well, a classic example would be the whole area of  
7 incident reporting. That was not in place formally or  
8 in any comprehensive fashion before the Trust became  
9 a legal entity. It took a considerable period of time  
10 before arrangements could be put in place. It needed  
11 the development of a strategy for it, it needed  
12 a structure to be put in place, it needed resource, it  
13 needed staff, it needed documentation. So that was, if  
14 you like, one of those developments that did take place.  
15 Whereas the concept of medical audit in particular was  
16 in place before we became a trust, issues in relation to  
17 discipline and management of underperformance were in  
18 place before we became a trust, they weren't very good  
19 and they were improved during our time as a trust and  
20 have continued to develop and improve long since I'd  
21 left the organisation.

22 THE CHAIRMAN: In other words, none of this comes from  
23 absolutely nowhere? What is now called governance was  
24 developing but not entitled that through the 70s, into  
25 the 80s, into the 90s, and then becomes more formalised,

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1 more developed and more coherent?  
2 A. A lot of the elements of this were in place before trust  
3 status came into being. I think the development in  
4 a Northern Ireland context -- we were looking at  
5 what was happening in England and we were trying to  
6 learn from that, but it wasn't up until 2003 that this  
7 actually had a statutory basis, a piece of legislation  
8 that was going to actually make this an explicit  
9 requirement for that legal entity. So the development  
10 was going on certainly before 2003 and it's continuing  
11 to develop; we're seeing further developments even  
12 currently.

13 MS ANYADIKE-DANES: In that context, can I pull up your  
14 witness statement, 306/1, page 3? If you see, it's the  
15 second paragraph in the answer to (e). (e) is:

16 "Where a patient has died and where the death is  
17 unexpected and unexplained, what were your particular  
18 responsibilities and where did those responsibilities  
19 come from?"

20 Then if you go to the second paragraph, you say:

21 "The initial responsibility would be with the  
22 consultant to consider the issues relating to or  
23 contributing to that death."

24 Then you go on to say:

25 "This would have been a convention that was a common

1 That's not something you expect children to die of  
2 in the western world, certainly not that quickly -- she  
3 had died really quite quickly -- and he really wasn't  
4 sure why she had and yet the death is recorded in the  
5 Children's Hospital, so certain formal things are going  
6 to have to happen as a result of that fact alone. Would  
7 you have thought, although it's a slightly different  
8 basis for gathering your colleagues together, that that  
9 sort of convention would have involved a gathering  
10 together of those consultants, some of them quite  
11 senior, who had had the conduct of her treatment, to try  
12 and get some sort of consensus as to what had happened?

13 A. Yes, I see absolutely no reason why that approach could  
14 not and should not have happened within the  
15 Children's Hospital.

16 Q. Is that what you might have hoped would happen?

17 A. I think it's ...

18 THE CHAIRMAN: There's an alternative, doctor, which is  
19 this: there was a review which was started in the Erne.

20 A. Yes.

21 THE CHAIRMAN: There's issues about how good a review that  
22 was, but one of the issues about why it wasn't a good  
23 review was that there was effectively no involvement of  
24 doctors in the Royal.

25 A. Yes.

1 professional practice for many years. It would involve  
2 the consultant convening an early meeting with nursing  
3 colleagues and any junior medical staff involved."

4 Can I just pause there and ask you: in the context  
5 of Lucy's case, would you have thought that that  
6 convention should have led to the consultant who had  
7 taken the lead role, if you like, in dealing with her  
8 death and its aftermath, Dr Hanrahan, convening such  
9 a meeting? Is that what that would mean?

10 A. I think Lucy's case is complicated by the fact that the  
11 circumstances which led up to Lucy's death took place in  
12 another hospital. If Lucy had been treated initially  
13 in the Royal Belfast Hospital for Sick Children and the  
14 outcome was the same, I would have expected that  
15 consultant to have carried out an early, almost  
16 immediate -- certainly the next morning ... To sit down  
17 with clinical staff involved and carry out a review of  
18 what factors were contributing to it. I would have  
19 expected exactly the same to have happened in the case  
20 of Adam Strain and of Claire Roberts.

21 Q. But if we focus on the Lucy example because that is an  
22 interesting distinction, but if we focus on it. What we  
23 do know about it is that Dr Hanrahan wasn't entirely  
24 clear why it was that Lucy had died like that. In his  
25 view, she'd come in with, if anything, gastroenteritis.

1 THE CHAIRMAN: You wouldn't be looking for a review of the  
2 same event in two different places, but what you would  
3 expect is that if there's going to be a review in the  
4 Erne, it's going to be a good review and it will involve  
5 the clinicians who have something to contribute from the  
6 Belfast end.

7 A. I think that would have been a more preferable and an  
8 ideal approach to it. I think it was a complex  
9 scenario, but that would have been a preferable and an  
10 ideal way to deal with it. And we're just talking here  
11 about the immediate clinicians involved. To go back to  
12 my statement, my expectation after any death -- any  
13 death, whether it was expected or unexpected -- is that  
14 a consultant would sit down with his or her nursing  
15 staff and his or her medical staff to say ... If he  
16 comes in on a Monday morning to find that Mrs X is no  
17 longer in the bed, I would expect professionally an  
18 early clinical discussion around why that patient had  
19 died. That is as distinct from actually carrying out  
20 any investigation, if you understand what I'm getting  
21 at. So --

22 THE CHAIRMAN: Is that something which has been going on for  
23 decades or are we talking about a recent development  
24 then?

25 A. No, I think the convention that I referred to there,

1 that happened when I was a junior doctor.  
2 THE CHAIRMAN: Thank you.  
3 MS ANYADIKE-DANES: That's why I asked you about that,  
4 doctor, because if something like that had happened and,  
5 as the chairman said, not yet getting into a formal  
6 review or any of that sort, "Let's just take stock as to  
7 what's happened", if that sort of thing had happened,  
8 then if the doctors discussed it in a way they gave  
9 their evidence, then it would have been clear that there  
10 would have been some difference amongst them because  
11 some of them, the anaesthetists, were of the view that  
12 what the problem is here is the fluid regime -- or at  
13 least a significant problem is the fluid regime  
14 instituted at the Erne, whereas Dr Hanrahan may have  
15 wanted to have discussed that a little further and not  
16 been entirely sure. But that would have been the start  
17 for trying to tease out their own thoughts before you  
18 then go and see, "How might we assist a review that we  
19 should anticipate is going to happen at the referring  
20 hospital?".

21 If I move you to that point, which is the one that  
22 the chairman raised with you, if you were going to do  
23 that, which you thought was a preferable thing to happen  
24 so that you take your expertise of what happened at the  
25 end point, if you like, and provide that to the

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1 you like, of intervention.  
2 Q. Yes.  
3 A. I think if there had been an early clinical meeting of  
4 all of the clinicians involved there, then referral to  
5 Dr Kelly or to myself as medical director in terms of  
6 what the next steps might have been -- and I don't  
7 think -- and you've heard Dr Kelly's evidence on this.  
8 I have to say that -- and I made reference on a previous  
9 occasion to concerns that Sir Liam Donaldson raised for  
10 doctors who are coming into medical director roles,  
11 about their skills and experience of handling difficult  
12 issues like that, particularly if a performance issue  
13 was being challenged. I think that's when a discussion  
14 would take place between, say, Dr Kelly and his director  
15 of public health and myself, maybe, into the Eastern  
16 director of public health or even the CMO. That's the  
17 level of experience and skills that the medical director  
18 then has to exercise.  
19 Q. Then if we go to that level, so we've got above the  
20 level where the clinicians, the directly-involved  
21 clinicians have talked to each other, and it becomes  
22 clear that there is -- I think you called it  
23 a performance issue -- and that's probably going to  
24 generate some sort of investigation at some stage, have  
25 you got any experience of the one hospital to another,

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1 referring hospital's review, what would be the mechanism  
2 for being able to do that? This is in 2000. How, in  
3 2000, would you have been able to do that?

4 A. Well, I think the initial clinical discussion would have  
5 been a clinician-to-clinician initiated meeting --

6 Q. You mean clinician at the Children's Hospital to  
7 clinician at the Erne?

8 A. The consultant in charge of Lucy's care in the  
9 Children's Hospital should have communicated with the  
10 clinician responsible for -- and in this case it would  
11 have been the referring clinician, I assume, because  
12 Dr O'Donohoe actually came up. So there was an  
13 opportunity there, I think, for those two senior  
14 clinicians to carry out a clinical discussion.

15 I go back to when I was a junior doctor and the boss  
16 came in on a Monday morning and said, "I see  
17 Mr So-and-so's not ...", he would have carried -- and  
18 if, in the context of that, issues were to emerge,  
19 it would be the responsibility of that senior doctor  
20 then to trigger other actions either and say, "Has  
21 the coroner been informed? Has a post-mortem been  
22 requested? Are there issues here about performance of  
23 any one member of staff or equipment issues or other  
24 resource issues?". So the responsibility is very much  
25 a professional responsibility to trigger escalation, if

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1 so in this case it'd be the Children's Hospital being  
2 the one referred to, actually involving itself in terms  
3 of its clinicians in a review at another hospital?  
4 Do you have any experience of that?

5 A. I can't recall at this moment in time a joint review of  
6 the care management. I can't recall -- personally,  
7 I can't recall that happening. That's not to say it  
8 didn't, I just can't bring to mind --

9 Q. At that time, have you ever been aware of something like  
10 that happening subsequently?

11 A. Well, I was going to, in my closing remarks, make  
12 reference to another situation, but um ... I can't  
13 recall off the top -- I'm sure it has taken place. I am  
14 absolutely convinced it has taken -- I can't just recall  
15 when it ...

16 Q. If there are any instances, that might be helpful to  
17 see, particularly as to where they originate from.

18 Just finally, drawing the threads of it together,  
19 and recognising your position as medical director, when  
20 we were looking at your role and areas of  
21 responsibility, if I perhaps pull up 306/1, page 16.

22 Mr Chairman, this is the final section. I'm just  
23 looking at the time. It's midday now.

24 THE CHAIRMAN: Let's finish the final section and let  
25 Dr Carson away.

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1 MS ANYADIKE-DANES: Then if you look at the areas of  
2 responsibility, if we perhaps pull up the next page as  
3 well. A rather formidable list of responsibilities, but  
4 those that I wanted to highlight in particular were the  
5 "professional standards" as a responsibility and the  
6 "oversight of clinical functions" and the:

7 "... supporting of the clinical directors and  
8 leading them in managing services and quality  
9 responsibilities. Ensuring the professional standards  
10 are maintained. Ensuring an appropriate system of  
11 clinical audit is in place. Coordinating and promoting  
12 the high standards at all stages of medical education  
13 and providing leadership on medical standards and  
14 liaising with other medical directors and also taking  
15 responsibility for certain aspects of the public image  
16 of the Trust."

17 So bearing that in mind, that's your remit, if you  
18 like, and if we draw together the threads of some of the  
19 things that you've been helping us with in the context  
20 of these cases, I'm just wondering if you could help us  
21 with these instances.

22 Firstly, the process of monitoring the management of  
23 the heads of directorate and the instances which we have  
24 been discussing is the quality of the induction and  
25 training. I'm not for one minute suggesting that you

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1 to you to even know that these things had happened?  
2 A. Initially -- and it's interesting that this guidance  
3 from the management executive came out at least a year  
4 after the trusts and executive medical directors were in  
5 post. And again, they're a catch-all for everything,  
6 and I have to say initially the systems were either  
7 non-existent or were extremely embryonic and it took  
8 a lot of time and effort and energy by Trust medical  
9 directors to individually put these in place within  
10 their own organisation.

11 Certainly, it wasn't until -- I certainly didn't  
12 feel that we got anything put in place of any structure  
13 until just after Dr Murnaghan retired and the resources  
14 which became available when he did retire to enable me  
15 to appoint two associate medical directors in place.  
16 I don't think we actually, as a Trust, got a proper  
17 structure really in place until roughly that time, so  
18 we're talking 1998/1999. I can't remember exactly.

19 The other thing that I think I did mention in terms  
20 of accountability -- because this all comes down to  
21 accountability and the systems that are in place to  
22 provide a level of assurance. It wasn't until we got  
23 what I would call the clinical directorate  
24 accountability reviews that the director of finance, who  
25 obviously made sure that the directorates were working,

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1 are involved in the induction and training. This is all  
2 at your level, medical director, to manage these things  
3 and ensure that they happen. That's the level at which  
4 I'm asking you to comment.

5 So we've had the issue of induction and training and  
6 compliance with protocols and good practice. The  
7 adherence to commitments, you've been seeing that  
8 in relation to the coroner. The compliance with  
9 statutory obligations in relation to the coroner also,  
10 particularly the reporting of deaths and completing of  
11 death certificates. The effectiveness of internal  
12 investigations. There have been those issues and  
13 whether they were properly audited and so on and lessons  
14 learnt, properly identified and disseminated. And then  
15 the cross-directorate issues that arise because when  
16 you're dealing with children, they partly -- in PICU,  
17 partly in ATICS if the paediatric anaesthetists are  
18 dealing with them.

19 If we stop with that because all of those issues  
20 arguably come under the head that you have to process  
21 and monitor the management of the heads of directorate.  
22 So given that you've now had all these things trailed  
23 before you as to what happened, so this is with  
24 hindsight of course you can see that, but what were the  
25 systems and processes that you would have had available

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1 staying within their budget and all the financial  
2 aspects -- the director of planning who actually chaired  
3 those accountability reviews, he was primarily looking  
4 at activity and compliance with the contracts that were  
5 held with the various health boards or GP fund holders,  
6 and then myself as trust medical director looking at  
7 governance issues. There might, in any one year, have  
8 been a particular theme that we were focusing on, that  
9 being maybe the development of appraisal arrangements or  
10 the development of clinical audit or whatever.

11 So it was only when we got that -- and that was  
12 three of us sitting on one side of a table with the  
13 clinical director, his or her business manager and his  
14 or her nurse manager. So it was a -- and that took  
15 place right across the trusts. I can't remember  
16 precisely the date that that was instituted.

17 Q. Roughly when would you say?

18 A. I would have said it was around 1998 or thereabouts that  
19 we started it. I can't remember precisely.

20 Q. But then, in that sort of system for the medical issues,  
21 because that's the particular aspect of that that you're  
22 dealing with, what is the system of making the clinical  
23 leads accountable to you for these areas that you've  
24 devolved to them and given them a degree of autonomy as  
25 to how they address them?

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1 A. Well, they all had a contract of appointment as  
2 a clinical director. It was explicit in that contract  
3 that they were accountable and reported to the  
4 chief executive. Let's remember that. But  
5 professionally, they would have reported to me on issues  
6 around clinical audit, research, teaching, education and  
7 appraisal, management of performance issues, staffing  
8 issues, et cetera. So that's the level that it took  
9 place. To cover this agenda in any one accountability  
10 meeting is virtually impossible, so you've got to focus  
11 in on priority issues for the Trust, strategic issues  
12 for the Trust. You cannot cover every aspect of this  
13 responsibility for either the medical director or the  
14 individual clinical director. It's extremely complex.  
15 Q. I know that you can't be precise about it, but you think  
16 you had that kind of system, say, established by about  
17 1998, let's say.  
18 A. Yes.  
19 Q. So it is established by the time of Lucy's death in any  
20 event in 2000?  
21 A. Yes.  
22 Q. Lucy, as you've already pointed out, was not a child who  
23 was essentially treated at the Children's Hospital, but  
24 nonetheless there are areas of concern, would that be  
25 fair, that have arisen in relation to what happened in

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1 what had happened and so forth, that sort of thing --  
2 would you have expected those issues to have come to  
3 you? I don't mean that you had an open door, and  
4 of course they could come and tell you those things.  
5 A. They should have come to me.  
6 Q. Thank you. That's what I was trying to ask you. So in  
7 some form or other, whether it's at those meetings or in  
8 some way, that kind of concern should have ultimately  
9 found its way to you?  
10 A. That should have happened in certainly three of the  
11 children, if not the fourth.  
12 Q. Thank you. Then just the other half of what I wanted to  
13 ask you about, which is the process for learning about  
14 and determining what improvements ought to be made to  
15 the management of the sorts of issues that come to you  
16 and which you're addressing in the field of governance.  
17 If it appeared, as seems to be the case, that  
18 clinicians were unclear about the risks posed by  
19 low-sodium IV fluids, they had different views about  
20 that, there seemed to be insufficient, inadequate  
21 training about the whole coronial points that you've  
22 already heard about and that cases were not being  
23 appropriately, perhaps, reported to the coroner or  
24 appropriate information being given, there may have been  
25 deficiencies in clinicopathological correlation and

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1 her death and its aftermath? Would you have expected  
2 any of those matters to have come to you, given that the  
3 clinical leads were accountable to you for how they ran  
4 their directorates?  
5 A. I doubt if it would have emerged in the accountability  
6 review meetings that we had, but I have to say that  
7 I was not remote from clinical directors; I met all the  
8 clinical directors collectively once a month in the  
9 medical committee meeting and there was every  
10 opportunity -- I had a very open-door approach to my  
11 office and any clinical director -- and some of them  
12 were frequently in my office on a weekly basis  
13 discussing issues with me. So there's every opportunity  
14 for a clinical director to bring to my attention, to  
15 bring to my notice, any concerns or difficulties that  
16 they were having, whether it be a colleague of medical  
17 staff or in terms of putting in place, within their  
18 directorate, issues relating to governance.  
19 Q. Yes, I understand that, but the question was in  
20 a slightly different way. Of the sorts of issues that  
21 have given rise to concern -- and Dr Hicks has been  
22 quite candid in her concern about the way Lucy's death  
23 was reported to the coroner, the way the death  
24 certificate was issued, those sorts of things, the  
25 apparent lack of agreement amongst the clinicians as to

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1 audit. If that does come to you, so we had what was the  
2 mechanism, we now establish whatever that mechanism was,  
3 these matters have come to your attention, then what was  
4 at your disposal for ensuring that there is an  
5 improvement and that those matters are addressed by  
6 whoever is the responsible person to do that? I'm not  
7 suggesting that you hands-on have to do it.  
8 A. Well, what I would have done in that scenario is, first  
9 of all, I think there are two aspects to it. One, what  
10 are the implications within the specific clinical team,  
11 and in this case in the paediatric directorate, but  
12 I think more importantly my role as a trust medical  
13 director would be, "What are the implications for the  
14 across the Trust?" Because exactly the same sort of  
15 scenarios could have happened, with some variations, in  
16 the medical directorate --  
17 Q. Of course.  
18 A. -- in the care of the elderly or children being --  
19 Q. Take the reporting to the coroner --  
20 A. -- or in the intensive care. All of these things could  
21 arise anywhere in the Trust. My first avenue for  
22 raising this issue would have been through the medical  
23 committee where all of the clinical directors came  
24 together and, if I had a concern about issues around  
25 reporting to coroner or issues in relation to death

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1 certification or issues around incident reporting and  
2 lesson learning, if I felt there was a deficiency or  
3 a shortcoming in that area I would have addressed it  
4 initially at the medical committee. In other words  
5 every clinical director would have known that I have  
6 a concern about this. I would have then maybe asked one  
7 or two of those clinical directors to set up a working  
8 party. They would have done a piece of background  
9 reading, background research, maybe come up with  
10 standards, if they didn't exist regionally within  
11 Northern Ireland to look elsewhere put together  
12 a document and say, "Here is a way forward, here is some  
13 guidance on how this should be done", we would have then  
14 had to bring that to the hospital council to get formal  
15 approval from hospital council. It may or it may not  
16 have been referred to the Trust board if the  
17 chief executive felt that it was necessary, but many of  
18 these things did not need to be referred; they just  
19 needed to be done within the organisation.

20 So the first thing would have been for me to have  
21 raised it with the clinical directors collectively,  
22 maybe put together a small working party to establish  
23 a direction, a way forwards, and then to implement that  
24 and take it forwards across the Trust. That would be  
25 the way that I think these issues should be handled and

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1 I mean we -- over my tenure as trust medical  
2 director, those nine or ten years, or whatever it was,  
3 we came across business managers who weren't up to the  
4 mark and even clinical directors, and we've had turnover  
5 of clinical directors whenever we felt it was necessary  
6 to move on.

7 Q. So the result of all of that is you did have a system  
8 which could have addressed some of these things, but  
9 that system could only have got into gear, if I can use  
10 the colloquialism, if you are being appraised of these  
11 difficulties? Once you're seized of them, then you can  
12 put your machinery in place, but the problem may have  
13 been that for whatever reason, and so maybe lower down,  
14 you have your governance failing, those deficiencies  
15 weren't being picked up and channelled up to you; would  
16 that be a fair summary of it?

17 A. I think that's a fair summary. And I have to say that  
18 every trust medical director -- and I am not referring  
19 to myself here -- once it gets escalated to that level,  
20 they're very clear on what their responsibilities are.

21 MS ANYADIKE-DANES: Thank you very much.

22 THE CHAIRMAN: Are there any questions from the floor? No?

23 Doctor, just before you go, when you were here  
24 in January giving evidence in Claire's case, there were  
25 a couple of governance issues that you raised and I just

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1 that's the way I would expect them to be handled  
2 nowadays, and I can't comment specifically on what's  
3 happening in any of the five trusts at the moment, but  
4 that would be my expectation of how a thing got  
5 escalated, formalised and then recommendations put in  
6 place.

7 Q. And then maybe if one closes a loop on that, so you've  
8 got your working party, it has produced some  
9 recommendations, you bring those recommendations back,  
10 they get approved, that cascades down, I presume, to the  
11 clinical leads, or whomsoever are the appropriate  
12 clinical leads, to make sure that those standards are  
13 put in place and then, if they are failing or there's  
14 a failure to adhere to them properly, that becomes  
15 another matter that you would expect to come back up to  
16 you?

17 A. That could emerge -- once we had formalised an approach  
18 to how this would be handled across the Trust, that  
19 would then become an issue for the accountability  
20 review --

21 Q. Exactly.

22 A. -- and each clinical director would report on whether  
23 they've delivered that or whether they have been unable  
24 to deliver it and then there would obviously be  
25 consequences.

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1 wanted to follow up on one of them.

2 You said at that time that you were co-chairing  
3 a group with Mr David Lavery about the work of coroners.  
4 Is that still sitting or --

5 A. No, no, that piece of work was completed at that time.  
6 I think there was an expectation that further work  
7 needed to be -- and I understand this would be  
8 around ... I'm getting cramp, sorry.

9 THE CHAIRMAN: Do you want to take a break?

10 A. It's okay. There was further work to be done around  
11 death certification, chairman. One of the points I was  
12 going to make by way of summary today was --

13 THE CHAIRMAN: You go on ahead then.

14 A. I think one of the key issues -- and I think maybe one  
15 of the -- in terms of the product from the inquiry in  
16 terms of your report, I think one of the key issues is  
17 around the area of investigation of death. I think it's  
18 a greater issue than the problems that arise around  
19 certification of death and I think, in terms of the work  
20 that was being done nationally following the Shipman  
21 inquiry and various other initiatives around the  
22 coronial system, I think there is need for real clarity  
23 around how investigation of death is to be followed  
24 through.

25 THE CHAIRMAN: Do you mean within the hospital setting, or

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1 is that the starting point?  
2 A. I think that's the start of it. I think there's a much  
3 wider issue that goes outwith the individual, clinical  
4 domain into the hospital and system-wide. Because  
5 these -- thankfully deaths of children are, in relative  
6 terms, less than they are with other age groups. But  
7 can I just draw a comparison here? I think if you take  
8 the situation of children who die under the care of  
9 Social Services and whether it's child protection issues  
10 or what, trusts are required -- in a situation of  
11 a child under social care, under management of Social  
12 Services, trusts are required to report death to  
13 a safeguarding board. There is an immediate  
14 case-management review panel established with an  
15 independent chair. There is a time frame for a report  
16 to be compiled, that's either 12 or 26 weeks, if  
17 I recall. There are powers under enabling legislation  
18 in relation to the safeguarding board. So here we have  
19 a situation where children under the care and auspices  
20 of Social Services where there is an immediate case  
21 management review.  
22 If you take, for example -- and everybody will be  
23 familiar with the Briggs case, for example that took  
24 place locally here, and we've got Baby P and the Lewis  
25 review --

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1 Quality Improvement Authority, now that it has subsumed  
2 the functions of the Mental Health Commission, and an  
3 investigation is required to be opened up with an  
4 independent chair. Although it is conducted by the  
5 Trust, it's got to be conducted independently.  
6 So it's interesting in a situation of  
7 Social Services and in the situation of children under  
8 mental health that there are more explicit arrangements  
9 in the investigation of death. Now, I think there is  
10 a gap in relation to children who die in any other  
11 situation outwith Social Services or outwith mental  
12 health, so I think there's a gap there.  
13 THE CHAIRMAN: Just before you move on, if you are moving on  
14 to another point, the question then might be: which  
15 children's deaths are investigated? Because I presume  
16 you exclude from that children who have leukaemia or  
17 some other issues. Am I right in that assumption that  
18 you're excluding deaths by disease?  
19 A. Well, this is the problem, and I suspect this is why all  
20 of the steps that were expected to be put in train  
21 after, for example, the Briggs case or after any other  
22 serious incident nationally, I suspect that this is one  
23 of the reasons why it has stalled because they were  
24 fearful of creating a bureaucracy around the  
25 investigation of deaths that would have been expected

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1 THE CHAIRMAN: Sorry, doctor, for those who don't know,  
2 doctor, the Briggs case was about twins who came over  
3 from Romania with the intention that they be adopted in  
4 Northern Ireland and one of the children was killed.  
5 A. One of the children died as a result of injuries and  
6 there was a case-management review put in place for that  
7 and there was subsequently a review of the effectiveness  
8 or otherwise of that. But what I'm emphasising was it  
9 was the fact that there was a requirement to carry out  
10 a case-management review with an independent chair. And  
11 also pertinent to that particular review of the Briggs  
12 case, steps were taken to put in place a child death  
13 review protocol. Now, we're talking -- the Briggs case,  
14 I can't remember the precise date, but we're still  
15 waiting for that child death review --  
16 THE CHAIRMAN: It's about 10 years ago.  
17 A. Right. We're still waiting for this child death review  
18 protocol. To the best of my knowledge, that protocol on  
19 the investigation of a child death has not been issued.  
20 So if we move on from Social Services, if a child is  
21 under the care of Mental Health Services in  
22 Northern Ireland, under the Mental Health  
23 (Northern Ireland) Order (1986), it requires that  
24 a serious adverse incident is reported within 12 weeks  
25 to the Health & Social Care Board, to the Regulation

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1 or, if you like, natural death. But given that  
2 children's deaths, relative to deaths of older people,  
3 are fewer, maybe something could be put in place. And  
4 certainly even if the child was an expected death, in  
5 leukaemia, did that child die earlier than would have  
6 been expected or was it later? That's not to say that  
7 a lesson couldn't be learned from it.  
8 I recognise there's obviously a danger here in terms  
9 of age that we maybe value deaths of children more  
10 significantly compared to any other vulnerable adult or  
11 an adult with learning disabilities or an elderly  
12 person, for that matter, so I recognise that there's  
13 a balance there.  
14 MS ANYADIKE-DANES: If you were doing that, if you were  
15 instituting a system which at least required that all  
16 those deaths would be required and, to a degree,  
17 whatever was thought appropriate, investigated, would  
18 that not at least enable somebody interrogating such  
19 a system to see the parallels, similarities, those sorts  
20 of things to deduce from that what are some of the  
21 points that could be addressed and might have more  
22 general applicability?  
23 A. Absolutely, and you're more likely to get regional  
24 guidance coming out that could then be formally  
25 disseminated to the service. What we've been talking

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1 about has been far too loose and no real -- really no  
2 responsibility on the Children's Hospital other than  
3 "It would be nice", "it would be good practice", or  
4 "It would be beneficial". I think this would have more  
5 chance of actually formalising the development of  
6 guidance. We know that the department did put  
7 together -- actually in many ways way ahead of elsewhere  
8 in Great Britain or elsewhere in Europe -- guidance  
9 in relation to hyponatraemia. But I do think that if it  
10 was more formalised in some way or other then -- I do  
11 recognise also that root-cause analysis has developed  
12 and various other instruments are now available to  
13 trusts and they do use them on a regular basis.  
14 Q. But your system would be across the region, though. The  
15 benefit of that is that you would be able to see the  
16 deaths across different trusts as opposed to each trust  
17 looking at their system to see if there are patterns of  
18 failings there. This would allow you to compare across  
19 the different trusts the incidence of any particular  
20 condition if that could be disclosed by the research  
21 going on or the investigation going on into those  
22 children's deaths?  
23 A. And particularly in conditions that are not common. In  
24 this situation, I think we have got four children who  
25 have had almost quite unique features in terms of their

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1 minutes. I had intended to deal with the suggestions,  
2 Mr Quinn, that you put forward, but I've received  
3 a message which means it might have to be -- that  
4 discussion might have to be put off for a day, but let  
5 me go and follow up on it. I'll come back in five  
6 minutes. Thank you.  
7 (12.30 pm)  
8 (A short break)  
9 (12.55 pm)  
10 THE CHAIRMAN: There were two issues raised on Monday,  
11 Mr Quinn, on behalf of Mr and Mrs Roberts. One is about  
12 addressing some further questions to Dr Webb about  
13 midazolam; the second issue is a query about making  
14 enquiries about forensic testing of the original notes  
15 and records.  
16 I think Miss Flanagan is here on behalf of Tughans.  
17 I understand that Dr Webb's position is to leave this in  
18 my hands. Thank you very much.  
19 Mr McAlinden, does the Trust have any position on  
20 these issues?  
21 MR McALINDEN: The Trust really adopts a totally neutral  
22 position in relation to this issue. If you,  
23 Mr Chairman, think that the tests are necessary, the  
24 Trust will certainly facilitate the production of the  
25 notes.

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1 clinical conditions, but a number of common themes, not  
2 just hyponatraemia. The other thing I think that is  
3 needed -- I think doctors in particular -- maybe it  
4 should be extended to Health Service managers as well --  
5 but doctors in particular are not trained in review  
6 methodology. Whenever you say it was the responsibility  
7 of a clinical director or a medical director to  
8 institute an investigation, I think that there's a real  
9 gap here. Doctors are required to give opinions and to  
10 write reports, particularly if they've got  
11 a medico-legal practice, but generally speaking doctors  
12 are not skilled in making statements, be it for the  
13 police, be it for the coroner, an investigation or an  
14 inquiry. And medical directors, certainly during my  
15 time and maybe even more recently, have had very little  
16 experience or training in how to convene an  
17 investigation. So I think there's -- if there was  
18 something more to be done around the investigation of a  
19 death, it would be to enable medical directors, and in  
20 particular chief executives, to ensure that proper  
21 arrangements were being instituted and put in place  
22 across the service.  
23 (The witness withdrew)  
24 THE CHAIRMAN: Thank you very much indeed.  
25 Ladies and gentlemen, we're going to break for a few

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1 THE CHAIRMAN: I'll deal with the tests in a moment.  
2 I think the easier one is about whether we should  
3 ask some more questions of Dr Webb on midazolam. Unless  
4 anybody who I haven't heard from yet, orally or in  
5 writing, has a contrary view, then I will go ahead and  
6 organise that.  
7 MR QUINN: Could I make one further point? In relation to  
8 the fluid management of patient W2, there's one  
9 reference, and it appears at 150-016-004a. This record  
10 can't be brought up because of the nature of the record,  
11 but the record on that page, if you look at it, sir --  
12 THE CHAIRMAN: Is this W2?  
13 MR QUINN: This is W2's records. The page notation is 004a.  
14 And you'll see the page starts on 17/10/96. The  
15 importance of that particular record, to go along with  
16 what I've already submitted in writing, is that Dr Webb  
17 actually is commenting on the prescription of IV fluids,  
18 whereas my recollection -- I haven't checked this this  
19 morning -- is that when he gave evidence he said that he  
20 didn't normally concern himself with the prescription of  
21 fluids.  
22 When that is set against the issues that I've raised  
23 in relation to W2 and midazolam, I would respectfully  
24 submit that some provision should be made for Dr Webb to  
25 come back and give evidence on this point because, on

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1 the face of this documentary evidence, we have  
2 undermined his testimony in relation to the prescription  
3 of W2, and with the greatest of respect, sir, the  
4 parents would like to hear him on this point under oath.

5 THE CHAIRMAN: Let me see what he says in writing first and  
6 then we'll take it beyond that.

7 On the forensic testing of Claire's notes and  
8 records, Mr Quinn, there are two routes suggested.  
9 I think you have recognised in your note that there is  
10 a question mark about the feasibility of doing ink  
11 testing.

12 MR QUINN: Yes, there is. Mr Green and myself have  
13 discussed this and we've actually -- Mr Green hasn't, as  
14 it were, contested very much in relation to what I've  
15 said in my conclusions section. So therefore, we're on  
16 all fours in relation to getting the document tested in  
17 some form, and yes, there is a problem, there may be  
18 a problem, but given that the paper that I relied upon  
19 was written over three years ago, this science may have  
20 moved on. So with respect, sir, the first question  
21 might be: can it be tested at all?

22 THE CHAIRMAN: Because the gist of that paper is that there  
23 aren't reliable techniques.

24 MR QUINN: That's correct.

25 THE CHAIRMAN: So the request could only be developed if

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1 THE CHAIRMAN: I'm sorry, the point is that this typically  
2 arises in a criminal case where there's an argument over  
3 what police say they have noted in terms of a confession  
4 by a defendant.

5 MR QUINN: Correct.

6 THE CHAIRMAN: What has sometimes been established in the  
7 past is that notes have been added to or altered or  
8 page 1 has been completely rewritten to include  
9 something which incriminates the defendant, but a trace  
10 of that cannot be found on page 2 and that supports the  
11 defence thesis, which is that the defendant did not make  
12 the admissions and the notes have been rewritten to  
13 establish guilt. On that scenario, you know what page 1  
14 is and you know what page 2 is. We know in Claire's  
15 case what page 1 is. I think the question is whether we  
16 know what page 2 is.

17 MR QUINN: Yes.

18 THE CHAIRMAN: And what I would like to be done in the next  
19 24 hours -- I'm sure Claire's notes and records aren't  
20 here, Mr McAlinden, I'm sure the originals aren't here.

21 MR McALINDEN: They were returned to the Trust.

22 THE CHAIRMAN: I wonder could those notes be taken back from  
23 the Trust, or at least brought here by you or somebody  
24 on behalf of the Trust over the next day or so --

25 MR McALINDEN: Yes.

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1 there are now reliable techniques which are not referred  
2 to in the paper from May 2010?

3 MR QUINN: Precisely, sir.

4 THE CHAIRMAN: While I have no hesitation at all about  
5 questioning Dr Webb further than he has been questioned  
6 before, I'm really quite hesitant about this, and I will  
7 think about this overnight in view of the exchanges  
8 today. That's about the ink testing.

9 I have to say, I'm even more concerned about the  
10 ESDA testing. For the ESDA testing to be a runner at  
11 all, we have to know what the sheet below the relevant  
12 page is.

13 MR QUINN: May I respectfully submit that we are not experts  
14 in this field. We all think of ourselves as experts to  
15 some degree because we've all been in trials where these  
16 methods have been disputed and argued about and given  
17 evidence on. But my first line of attack, as it were,  
18 on that paper would be to ask the experts, and what  
19 normally happens by way of legal services consent  
20 is that (a) the experts are asked, one set of agreed  
21 experts is picked, their expertise is enquired about  
22 in relation to whether they can prove or provide  
23 expertise in relation to that subject.

24 Once they say, yes, they can be of use on that  
25 subject and then we could ask how much it would cost.

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1 THE CHAIRMAN: -- to see if it is possible to assert with  
2 any degree or establish with any degree of certainty  
3 what the pages below the relevant entry in Claire's  
4 records are?

5 MR McALINDEN: Yes.

6 THE CHAIRMAN: Because I think it seems to me at least that  
7 the potential value of sending a collection of medical  
8 records stretching over a number of pages for ESDA  
9 testing -- I suspect the first question that the  
10 examiner would ask for is, "What was the page below the  
11 relevant page?" If that can't be answered, it makes the  
12 whole process far more difficult.

13 MR QUINN: And I did submit that in my paper. I provided  
14 a number of scenarios where it might not be relevant at  
15 all. So perhaps the first test is what you suggested,  
16 chairman.

17 THE CHAIRMAN: Then let's start that process, if we can,  
18 over the next day. If it's at all possible to bring  
19 them back tomorrow for the parties to look at to see how  
20 close we can get to establishing what the page is below  
21 the relevant page.

22 MR McALINDEN: It might be necessary to ascertain how  
23 medical records are actually produced in the hospital  
24 because it might well be that there are a blank stack of  
25 clinical records and individual pages taken by

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1 a clinician who then makes an entry and then these pages  
2 are then collated together in the file. So it might  
3 well be that there --  
4 THE CHAIRMAN: [Inaudible: no microphone] just taken off a  
5 pad?  
6 MR McALINDEN: -- as opposed to forming a page in  
7 a pre-organised booklet.  
8 THE CHAIRMAN: I will consider making further enquiries  
9 about this, but I need to have a clearer basis so that  
10 I can decide that this is actually worth referring to an  
11 expert at all.  
12 MR QUINN: I understand that.  
13 THE CHAIRMAN: We're here tomorrow and then we're here on  
14 Monday and Tuesday. I would like to get this issue  
15 sorted out within that timescale because obviously we  
16 need to get this off. If it could be brought tomorrow  
17 for these discussions to take place, and if it can't be  
18 brought tomorrow, at worst it will be brought on Monday.  
19 Is there anything further today?  
20 MR QUINN: Nothing further.  
21 THE CHAIRMAN: Then we'll start with Dr MacFaul tomorrow at  
22 10 o'clock. Could I tell you now that on Monday, for  
23 various reasons, which are not personal to  
24 Professor Scally, we're going to have to start at  
25 9 o'clock. Okay? Thank you very much.

1 (1.08 pm)  
2 (The hearing adjourned until 10.00 am the following day)  
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I N D E X

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3 DR IAN CARSON (continued) .....1  
4 Questions from MS ANYADIKE-DANES .....1  
5 (continued)  
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