1	Wednesday, 10 October 2012
2	(11.00 am)
3	(Delay in proceedings)
4	(12.00 pm)
5	Discussion
6	THE CHAIRMAN: Ladies and gentlemen, I have just come out
7	because I understand some issues have been raised about
8	the confidentiality undertaking which is to be signed
9	before the file containing the other patients' records
10	is distributed. Let me explain what happened to
11	follow-up on what I told you on Monday.
12	We were back in the High Court this morning before
13	the Lord Chief Justice in relation to the three new
14	patients who were identified by the Royal over the
15	weekend and the variations and extensions of the
16	original permission which had been granted to look at
17	patients' records.
18	It was the Lord Chief Justice who heard this
19	morning's application again. His ruling is that what
20	we are seeking to do by inspecting these records and
21	copying anonymised versions of them is an intrusion into
22	the privacy of those patients and/or their parents. He
23	decided, however, that that intrusion was justified in
24	the public interest, but the disclosure of these

documents would be based on a public need. But he

emphasised in the strongest possible terms that that disclosure has to be to the most limited extent possible. Specifically, he urged caution that limitations are imposed on the distribution of these documents and that those limitations are respected. also stated that if there is any departure from the restrictions which have been indicated to the court, the parties must return to the court. And if the confidentiality which attaches to the documents is not respected, then the papers are not to be distributed to the parties.

The end result of that is the confidentiality undertaking which you are being asked to sign today in order to receive the papers. It is quite clear, if it wasn't already obvious to everybody, that the Chief Justice's approval is based on his recognition of the fact that for an inquiry like this and for the people involved in this inquiry, to see the records of patients other than Claire is an intrusion which he is unhappy about, but which he is prepared to recognise and accept, provided that we impose strict limitations which the parties respect.

It is against that background that the confidentiality undertaking has been prepared. As I understand it, the one which is causing some

- 1 hesitation is number 3, which says that:
- 2 "None of the records contained in file 150 are to be
- 3 copied or transmitted onwards in any way without the
- 4 prior written consent of the inquiry solicitor."
- 5 I understand that some questions have been raised
- 6 about who Miss Dillon might consent to copies being
- 7 forwarded to. So if anybody has any particular problem
- 8 with this, could they please identify it so we can
- 9 hammer it out now?
- 10 MR FORTUNE: Sir, as you know, representing Dr Steen, as we
- 11 do, we need, firstly, to understand how big the file is
- in terms of how much ...
- 13 THE CHAIRMAN: It's in one of the smaller files. It's not
- 14 a full lever arch file.
- 15 MR FORTUNE: Clearly, we need to obtain instructions from
- 16 Dr Steen and it's the mechanics of doing that. I do not
- 17 know how long it will take Dr Steen to go through the
- 18 file and the first question we asked was whether we
- 19 could be allowed to produce a copy of the file for
- 20 Dr Steen to read and to consider. If that's not to be
- 21 allowed, then, turning to the mechanics of how Dr Steen
- is to provide us with instructions, would it be
- 23 acceptable if she sat in a room at my instructing
- solicitor's office, went through the file, made notes
- 25 appropriately in relation to each patient, so that we

- 1 could then have those instructions and indeed build-up
- 2 a picture of where she was, what she was doing and
- 3 whether she was contactable?
- 4 Clearly, we need to obtain that information to be
- 5 able to assist you and my learned friends and that's
- 6 before we get to the issue of how this evidence is to be
- 7 elicited. Because that does pose a problem if there are
- 8 to be questions asked by my learned friend as counsel to
- 9 the inquiry. Will that be done in open session or will
- 10 you exclude those members of the public or, indeed,
- 11 anybody else who ought not to be here?
- 12 THE CHAIRMAN: No, we will not be excluding members of the
- 13 public because that would undermine the hearings. What
- 14 we have said before is that we will have to consider how
- these questions are then to be recorded in the
- transcript or to be presented in the transcript.
- 17 We will be considering that over the next day or so now,
- 18 particularly in light of the strictures of the
- 19 Chief Justice, which are particularly direct and severe.
- 20 MR FORTUNE: But our primary concern, sir, is being able to
- 21 take proper instructions.
- 22 THE CHAIRMAN: I understand. What I want to do is I want to
- 23 hear what the concerns are and then come back out in
- 24 a few moments and allay as many of those as I can.
- 25 MR SEPHTON: Sir, my concern is simply this: my instructing

- 1 solicitor, the solicitor on the record, is not with me
- 2 today. I was rather hoping that I'd be able to leave
- 3 the jurisdiction after this hearing because we're not
- 4 sitting tomorrow or the next day and look at the
- documents. So I'm not going to be able to see these
- 6 documents until my instructing solicitor has signed for
- 7 them, and that's not going to be until Monday.
- 8 THE CHAIRMAN: Is your instructing solicitor based in
- 9 England or Northern Ireland?
- 10 MR SEPHTON: Northern Ireland.
- 11 THE CHAIRMAN: We will be returning to Belfast after the
- 12 inquiry finishes today, which we didn't anticipate being
- 13 a long sitting. Can your instructing solicitor come to
- the inquiry office, sign the undertaking and then we'll
- see what arrangements can be made after?
- 16 MR SEPHTON: The answer is I don't know. The only reason
- 17 I don't know is that he has personal difficulties or may
- 18 have personal difficulties at the moment, which may
- 19 prevent him from doing that.
- 20 THE CHAIRMAN: But a solicitor in the firm could do that, he
- 21 or she?
- 22 MR SEPHTON: I was told not. Miss Conlon told me the only
- 23 person who could sign was the person whose name is on
- the record.
- 25 THE CHAIRMAN: I understand the concern, Mr Sephton. I will

- come back to you on that. Anybody else?
- 2 MR GREEN: I don't rise to make any objection or to throw
- 3 a spanner in the works, I simply seek some clarification
- 4 about a couple of matters. First of all, several
- 5 counsel, as you know in this inquiry, live on the other
- 6 side of the Irish Sea. There's the logistical issue of
- 7 whether or not our solicitors are permitted to copy us
- 8 this file so that we can look at it and get on with some
- 9 work over the next few days before we sit again on
- 10 Monday. That's the first practical or logistical issue,
- 11 which I would invite you to assist us with.
- 12 The second matter relates to the scope of the term
- 13 "clients". By that, I mean as follows: we are
- instructed -- and by "we", I include my instructing
- 15 solicitor and myself -- by a medical defence
- organisation. The way in which it works is that they
- 17 are considered, as a matter of convention, to be, if you
- 18 like, the institutional client and then there is the
- 19 individual member whose interests they represent. So
- 20 the instructions formally come in from the medical
- 21 defence organisation as the client. It's a role
- 22 analogous to insurers I suppose in --
- 23 THE CHAIRMAN: But that's the point, Mr Green. I cannot
- 24 agree to these documents being released to an
- institution like an insurance company. I can agree to

- 1 them -- and we'll consider now how they are shared
- 2 between solicitor, counsel and client, but as far as ...
- 3 We lose control of the documents.
- 4 MR GREEN: I completely agree and I wasn't asking that they
- 5 be transmitted to the medical defence organisation. All
- 6 I am asking is whether or not it's going to be
- 7 permissible for a representative of the defence
- 8 organisation to sit in conferences between the legal
- 9 teams and the individual doctors whilst these matters
- 10 are being discussed. That's often what happens and
- 11 I simply seek clarification as to whether or not that's
- 12 permissible.
- 13 THE CHAIRMAN: That would be a normal style consultation
- 14 where there's a representative from the insurance
- 15 company there?
- 16 MR GREEN: Yes. That's the effect of it, yes. I'm not
- 17 asking for a minute that this stuff be dished out to the
- 18 medical defence organisations, electronically or in any
- 19 other format.
- 20 THE CHAIRMAN: Okay, I understand. Ms Woods?
- 21 MS WOODS: I raise a point -- I don't actually know whether
- it's going to be a problem, obviously not having seen
- 23 the records. Firstly, I'm in a similar situation as my
- learned friend Mr Sephton in that the solicitor who
- 25 instructs me and who is on the record is not present, so

- I don't have a copy of the undertaking in front of me.
- 2 Another issue that may arise -- and this is with
- 3 respect to my client who is Dr O'Hare -- is that if
- 4 we when do look through these records, there is an issue
- 5 that may arise with respect to Dr O'Hare, she is in
- 6 Malawi. If we do need to take instructions on anything
- 7 in those medical records, it would of course be best --
- 8 I think probably necessary -- that Dr O'Hare would have
- 9 those records in front of her. So it's really a rather
- 10 knotty practical question of how we're able to transmit
- 11 those records to her in Malawi if that proves necessary.
- 12 THE CHAIRMAN: Is your solicitor a Northern Irish solicitor?
- 13 MS WOODS: Yes.
- 14 THE CHAIRMAN: Presumably Belfast based?
- 15 MS WOODS: Yes.
- 16 THE CHAIRMAN: Is that person contactable today?
- 17 MS WOODS: Yes.
- 18 THE CHAIRMAN: I have no objection to you seeing the
- 19 undertaking.
- 20 MS WOODS: I am broadly aware of the terms of it, which is
- 21 why I raised the point because I understand that there's
- 22 an undertaking that the notes will not be transmitted
- over, for example, the Internet by e-mail.
- 24 THE CHAIRMAN: Yes. Okay. I understand that point. Your
- 25 solicitor's signature can be obtained this afternoon,

- 1 all being well. Your real issue is about Dr O'Hare in
- 2 Malawi.
- 3 MS WOODS: That's the main issue, sir, yes.
- 4 MR FORTUNE: Sir, I might just come back on the issue of
- 5 taking instructions. Having heard my learned friend
- 6 Mr Green say that he's likely to return to England, I'm
- 7 going to stay here for the rest of the week so I intend
- 8 to take instructions from Dr Steen either tomorrow or
- 9 Friday. As far as the jurisdictional point is
- 10 concerned, it doesn't affect us. We shall be here and
- 11 we are anxious that Dr Steen gives us the instructions
- 12 so that she can begin her evidence on Monday.
- 13 THE CHAIRMAN: I'll ignore the irony that I very much
- suspect that Dr Steen has seen a lot of these. We'll
- 15 set that aside for a moment, Mr Fortune. I assume that
- 16 Mr Green's point about counsel returning to England and
- their solicitors being here with their client might
- 18 apply beyond Mr Green to some others. Okay, thank you
- 19 very much.
- 20 Let me consider those issues in conjunction with my
- 21 team and I'll sit again in the next few minutes.
- 22 Thank you.
- 23 (12.14 pm)
- 24 (A short break)
- 25 (12.32 pm)

- 1 THE CHAIRMAN: Could I preface what I'm about to say by
- 2 explaining the context in which these documents were
- 3 originally inspected. I think it will help you
- 4 understand and hopefully help your clients understand
- 5 why we have been so tight about this and why the
- 6 Chief Justice was also very tight about it. When the
- 7 documents were being inspected, they were inspected by
- 8 Ms Anyadike-Danes as the inquiry's senior counsel,
- 9 either one of the two trust senior counsel, Mr McAlinden
- or Mr Simpson, and with assistance from Dr Scott-Jupp.
- 11 Nobody else was allowed to see them.
- 12 For instance, if Ms Anyadike-Danes hadn't been
- 13 available, no one of our junior counsel and none of the
- inquiry solicitors were entitled to take her place. And
- on the DLS side, if either of their two seniors were not
- 16 available, Mr Lavery, the junior counsel, was not
- 17 allowed to take their place, nor was the DLS solicitor.
- 18 So there has been an extraordinary restriction of access
- 19 to these documents and it is that which we have to
- 20 continue.
- 21 We have now considered the various issues which have
- 22 been raised and the position I want to outline is as
- follows: no files are to be copied to clients. In terms
- of the counsel representing those clients, the inquiry
- 25 will provide a second copy of the file and they can be

- given today if the undertaking is signed. We will
- 2 provide another, so it is not the solicitor of the
- 3 client who is copying the file to counsel, it is the
- 4 inquiry which is providing a second file, and that will
- 5 be covered by the solicitor's undertaking. So the
- 6 solicitor's undertaking extends beyond the file which
- 7 the solicitor receives and extends to the file given to
- 8 counsel.
- 9 If counsel, as has been known to happen, loses or
- 10 mislays the file, that cannot be replaced with the copy
- 11 provided by the solicitor; that can only be replaced by
- 12 contact being made with the inquiry and the inquiry
- 13 providing a replacement or choosing not to provide
- 14 a replacement.
- Mr Sephton and Ms Woods, so far as you are
- 16 concerned, you share the same solicitor, Mr Wilson;
- 17 is that right? If it's possible to make contact with
- 18 Mr Wilson to get him to e-mail Miss Dillon now,
- 19 authorising a named solicitor present to sign on his
- 20 behalf, we will provide files on that basis. Can that
- 21 be done, do you know?
- 22 MS WOODS: Perhaps I can speak on behalf of both of us
- 23 because I have someone who is privy to Mr Wilson's
- 24 movements. I suspect that is going to be very difficult
- 25 because Mr Wilson's wife is, I believe, very close to

- 1 labour.
- 2 THE CHAIRMAN: Okay. I don't need any more. I fully
- 3 understand the position. Let me come back to that in
- 4 a moment.
- 5 In terms of the point raised by Mr Fortune about
- 6 a client making notes, clients will not have a copy of
- 7 the file, but the client can see a copy of the file
- 8 at the solicitor's office. I am perfectly content for
- 9 the client to make notes, but those notes are to be kept
- 10 by the solicitor. They are not to leave the office
- 11 because otherwise the confidentiality disappears; okay?
- 12 So far as, Mr Green, the medical defence
- organisations are concerned, I'm content that they are
- 14 covered in paragraph 5 of the undertaking, which is that
- 15 the information contained in the file is not to be
- 16 otherwise shared with any person without the prior
- 17 written consent of the inquiry solicitor. So if there
- is to be a consultation at which the insurance
- 19 representative is present, we have to be notified in
- 20 advance of that fact, and Miss Dillon, in those
- 21 circumstances, is most likely to give approval.
- 22 MR GREEN: Thank you.
- 23 THE CHAIRMAN: Ms Woods, back to you again. Dr O'Hare --
- I can't say this for sure, but our impression is that
- 25 because of the timeline of Dr O'Hare's involvement, she

- is less likely to be interested in these documents than some other people who were on duty on 22 October are
- 3 likely to be concerned. But if you and your solicitor
- 4 look at them and identify some extracts from the records
- 5 which you believe need to be forwarded to Dr O'Hare,
- 6 then that will have to be arranged.
- But you understand, because of the timeline, it's
- 8 almost certain that she won't need to see all of them
- 9 and it's quite possible she may not need to see any of
- them, but I would like you to look at them with your
- 11 solicitor first before any question is taken forward of
- 12 sending anything to the client. Your client's in
- a particular situation because she is in Malawi.
- 14 I understand that and we'll make allowance for it if
- it is necessary to do so.
- 16 Our inclination is that if it is absolutely
- 17 necessary to do so, we will find a way for the inquiry
- 18 to provide a hard copy and we're making enquiries at
- 19 present about things like FedEx delivery and so on. But
- 20 that's something which will have to be developed over
- 21 the next day or two. I think the immediate problem
- 22 you have is that Ms Anyadike-Danes is ready to explain
- 23 what the contents of the files are, but the reality is
- that you will not be able to contact Mr Wilson.
- 25 MS WOODS: I think it's unlikely. We certainly can't

- 1 quarantee that.
- 2 THE CHAIRMAN: Okay.
- 3 MS WOODS: Mr Chairman, just in relation to Dr O'Hare's
- 4 position specifically, I'm grateful for the indication
- 5 that it may be unlikely that it's going to greatly
- 6 affect her, the contents of that file.
- 7 THE CHAIRMAN: I am only saying that because of the timeline
- 8 of her involvement.
- 9 MS WOODS: Indeed. I should perhaps raise this point well
- 10 in advance: given that Dr O'Hare is going to be giving
- 11 evidence by video link from Malawi, if it were to be the
- 12 case that any of those records were going to be
- 13 specifically referred to within the oral evidence in the
- 14 questioning to her, it would of course be necessary that
- she has a copy of that document in front of her.
- 16 THE CHAIRMAN: Okay. Yes, you're making the point that she
- 17 can't be questioned without seeing the document she's
- 18 being questioned about. Okay.
- 19 MS WOODS: Yes.
- 20 THE CHAIRMAN: Given the particular circumstances of
- 21 Mr Wilson, is there another partner who could be
- 22 contacted quickly? I'm sure there must be; there's
- 23 hundreds of partners in Tughans.
- 24 MS WOODS: I don't know about the number, but I think they
- 25 probably can.

- 1 THE CHAIRMAN: Can you do what you can in the next few
- 2 minutes? In the absence of Mr Wilson to do this, if
- 3 a partner in Tughans indicated to one of the solicitors
- 4 here today that they could sign on behalf of Mr Wilson,
- 5 we can do that in a matter of minutes and push on with
- 6 what Ms Anyadike-Danes has to say. Does that cover all
- 7 the issues? Mr Fortune?
- 8 MR FORTUNE: Sir, having heard you deal with the question of
- 9 how we can take instructions, my instructing solicitor
- 10 will now sign the undertaking.
- 11 THE CHAIRMAN: Thank you very much. I will sit again as
- 12 soon as possible once the Tughans issue is sorted out.
- 13 Thank you.
- 14 (12.42 pm)
- 15 (A short break)
- $16 \quad (1.10 \text{ pm})$
- 17 THE CHAIRMAN: That's everything sorted out, I understand.
- 18 Thank you all for your co-operation in bringing that
- 19 particular issue to a conclusion.
- 20 What I should now just confirm for you, though you
- 21 may already know this, is that there are now three
- 22 further files to be inspected. It's anticipated that
- 23 that inspection will take place later today. These are
- 24 the files of the three patients who were identified by
- 25 the Royal last weekend.

The process which will be followed is the same as before. The files will be inspected. If they are relevant, they will be redacted, copied and distributed on the basis of the same undertaking as has been given by the solicitors this morning. Dr Scott-Jupp will assist in that process later today with Ms Anyadike-Danes and with Mr McAlinden for the Trust. And before I move on from this, I should once again thank the patients and, in some cases, the parents of those who were being treated in October 1996. We have

inquiry.

those who were being treated in October 1996. We have had to contact them more than once now, as it turns out, and none of us here can know what memories have been refreshed or how difficult this must have been for at least some of those who were involved. And whether those patients and their families consented or not, I am grateful to them for their consideration for the

In terms of the way forward, since those remaining three patients' files cannot be inspected until this evening and therefore could not possibly be distributed until tomorrow, we cannot now in fact start the evidence tomorrow, as we had anticipated. Accordingly, what is proposed is set out in the schedule for hearings, which was distributed earlier this morning. And if you have that to hand for a moment, what you will see from

- 1 that is that we will start on Monday 15th, and the first
- 2 few days of next week will be taken up with Dr Steen,
- 3 Dr Sands and Dr Stevenson.
- 4 Next week will be a five-day week in terms of the
- 5 inquiry sitting. We will then move on to deal with some
- of the other doctors who were involved in Claire's
- 7 treatment on 21 and 22 October 1996.
- The following week is, as you're all aware, the 16th
- 9 anniversary of Claire's death. We had originally
- 10 proposed it would be a complete break, then, with Mr and
- 11 Mrs Roberts' agreement, we had decided not to sit on the
- 12 Monday and Tuesday, but to sit on the Wednesday,
- 13 Thursday and Friday. I think as it now turns out, with
- 14 the distribution of the governance papers at the end of
- 15 last week, we think that the cleanest way to do this, to
- 16 achieve the inquiry making the progress it has to make,
- is for us to take that as a complete break rather than
- 18 sit for part of that week.
- 19 So we will get through as many doctors as possible
- 20 that we can on the week of 15 October. In the week of
- 21 29 October, we will finish off the remaining doctors,
- 22 we will hear from the nurses who were involved and
- 23 we will move into the inquiry's expert witnesses. Then
- 24 we will go straight in without a break into the
- 25 governance part of Claire's hearing on 12 November.

The week of the 26th is listed there as a break. If
we haven't finished "Claire governance" during the week
of 19 November, I will sit on in the week of the 26th so
that "Claire governance" does finish that week.

We will then go on in the week of 3 December to deal with the preliminary issue in Raychel Ferguson's death, which is what happened at and after the time of Lucy Crawford's death in 2000. The interested parties in "Claire governance" will be notified of that fact by this Monday, the 15th October and Salmon letters will then follow next week.

So far as the Raychel preliminary segment is concerned, witness requests have gone to all of those who we regard as relevant. In the past, I have allowed some leeway to the witnesses in returning those statements. Some people, for good reason, have been unable to comply with the original deadlines that we imposed and we have repeatedly extended deadlines. Unfortunately, some witnesses have delayed in the past for no apparent good reason. Given the time pressure which we're now under, if statements are not returned in time without any good reason being advanced, I will require the individuals who have yet to make their statements in writing to us to attend here in Banbridge to explain why not, because we can continue to be

- 1 knocked back, waiting for witness statements.
- 2 Let me make two further points before
- 3 Ms Anyadike-Danes explains the files which have now been
- 4 distributed. One is that I was asked to go back to
- 5 remind you about the closing submissions which are to be
- 6 presented in Adam's case. I asked for them to be
- 7 provided to me within six weeks of 11 September.
- 8 That is by Tuesday, 23 October. I won't -- and anyway
- 9 I couldn't -- dictate the format.
- 10 But I should say that I think it's a bit unlikely
- 11 that I would be assisted by particularly long reviews of
- the evidence. What would help me is if the
- 13 representatives of the interested parties focused on
- 14 their particular client's cases and made concise
- 15 submissions about the way in which the evidence, as it
- has unfolded, has left their clients.
- Beyond that, the only other point to make -- and
- 18 it's relevant to Claire's case -- is, in the next 24 and
- 19 48 hours, we will circulate some other correspondence
- 20 which we've had, particularly with DLS, about other
- 21 enquiries which we made about what was happening in the
- 22 Royal in October 1996. Part of that, Mr Green, came
- from a letter which Mr McMillan wrote asking for some
- 24 more details.
- 25 We have some limited answers and we're hoping for

- 1 some more over the next day or so. The reality is that
- 2 we cannot reconstruct exactly what happened 16 years
- 3 ago, especially on 22 October. We're doing our best to
- 4 reconstruct it within the limitations which are
- 5 available, which are imposed on us for a variety of
- 6 reasons and balancing the right to the privacy of the
- 7 other patients.
- 8 The approach of Mr Justice Gillen and the Lord
- 9 Chief Justice to our request for access to patients'
- 10 records made it clear to us that if we had gone in on
- 11 the very broad request which had been mooted, albeit
- 12 diffidently, by Mr McMillan, we wouldn't have got that.
- 13 MR GREEN: I follow.
- 14 THE CHAIRMAN: What I can say now is that I will bear in
- 15 mind when I'm considering the evidence and when the
- 16 witnesses are remembering as best they can that we will
- 17 not have a complete recollection of 1996.
- 18 MR GREEN: I'm very grateful.
- 19 THE CHAIRMAN: Ladies and gentlemen, I will now ask
- 20 Ms Anyadike-Danes to give us a short summary of the
- 21 contents of file 150. Hopefully, that will assist you
- in understanding why these records have been extracted
- and help identify some issues, at least, which you might
- 24 want to raise with your clients.
- 25 MR FORTUNE: Sir, before my learned friend does that, just

- 1 arising out of what you've told us: can you give us some
- 2 indication as to when those who are the subject of the
- 3 requests for statements in the Raychel Ferguson part
- 4 might receive an indication that they are to be granted
- 5 interested party status?
- 6 THE CHAIRMAN: The short answer to that, Mr Fortune, is that
- 7 I have an outline idea because the work which has been
- 8 done within the inquiry gives us an outline idea of
- 9 people who are most likely to have questions to answer.
- 10 Some are reasonably clear, others are less clear and
- 11 will only emerge when the statements are taken as
- 12 a whole.
- 13 I presume you're making the point that they need to
- 14 know sooner rather than later, and I acknowledge that.
- 15 MR FORTUNE: Yes. Bearing in mind, of course, that they
- 16 will belong to one of the organisations and it may well
- 17 be that they would want to know whether they're going to
- 18 still be represented by the Trust or by solicitors
- 19 nominated by the protection body.
- 20 THE CHAIRMAN: Yes. I'd almost be inclined to indicate some
- of those who are likely to be interested parties, but
- for the fact that I'd then be accused of prejudging.
- 23 MR FORTUNE: There's an element of the Catch 22 about that,
- 24 sir.
- 25 THE CHAIRMAN: Exactly. Ms Anyadike-Danes?

- 1 Address by MS ANYADIKE-DANES
- 2 MS ANYADIKE-DANES: Before I start, I wonder if I can
- 3 reiterate something that was said to the Lord
- 4 Chief Justice this morning when I was making the
- 5 application. And that is that senior counsel for the
- 6 Trust, Mr Simpson, communicated to the Lord
- 7 Chief Justice some of the impact on the families of
- 8 being contacted after such a long time to be asked to
- 9 provide consent to disclosure to either their or their
- 10 children's files, medical notes and records.
- 11 Some of those children are now deceased, so that is
- 12 a difficult thing for a parent to have to contemplate.
- 13 Others of those children are actually still minors
- themselves, so that's a difficult thing for them perhaps
- 15 to have to discuss with their parents. This is part of
- 16 the reason why there's such a great sensitivity about
- this and such a high request, on our part and my part,
- 18 to my fellow counsel that one treats all these things
- 19 with respect and, so far as we absolutely can, maintain
- the privacy of all these people.
- 21 So if I can just leave that with you as I take you
- through it.
- 23 THE CHAIRMAN: Thank you.
- 24 MS ANYADIKE-DANES: I have provided three further documents,
- 25 really just in ease of explaining. One is -- and this

can be called up -- 310-018-001. That's the result of the inspection. If we can put that to one side and put next to it, as it were, something which I'm then going to take down as soon as it goes up, but I hope will help you. It's 310-017-001. That's October 1996. Sometimes these are done in actual days, not just the numerical date, to assist you in bearing these things in mind as to where all these things relate to each other. If I can take that one down and put up 310-016-001, which is a slight variation of something you've seen before.

You'd seen this before. This is the Claire Roberts timeline. What I've had highlighted there is the actual day of 22 October when Dr Steen was on duty. So although she wasn't on duty for all of that day in the Royal, nonetheless that was her duty day, from 0900 until 1700 hours. Then you can see, therefore, in terms of Claire's case, the level of activity, the things that happened in relation to Claire over that period, as compared to any other period.

If we get rid of the 310-018-001 document for the moment so we can blow this up a little bit. Then you can see that with the exception of the antibiotic type of drugs or antiviral medication, most of the anticonvulsants were actually given or at least started in that period. So you can see it covers the span of

- 1 the ward round, the three visits by Dr Webb, and you can
- 2 see where the Glasgow Coma Scale is. If you look above,
- 3 of course, you see, as you did before, who was on duty
- 4 in terms of nurses, junior paediatric team and
- 5 consultants.
- 6 So it's really just to highlight why it is that
- 7 22 October is a day that we are paying particular
- 8 attention to as we look through these notes and records
- 9 of other patients. Thank you.
- 10 Then if we go back to that 310-018-001. This is
- 11 a document that was provided to the Lord
- 12 Chief Justice really to explain the variation that
- 13 we were seeking. If you see those coloured in that
- 14 light blue colour, they are all outside the strict terms
- of either the notice or the order because you will see
- 16 that the admission is either on Monday or some day
- 17 preceding that, or there is a different ward from Allen,
- 18 so you see the two Musgrave wards there. You see which
- 19 consultants are involved, so for Dr Steen there are nine
- 20 patients involved there, three of whom were within our
- 21 time frame. One is excluded as being outside the time
- 22 frame.
- For Dr Webb there's one. For Dr Reid there's one.
- 24 And for Dr Hill there's one also. For Dr Redmond, there
- 25 are two that are within the time frame. I'm not

- 1 entirely sure about the 19th. We have to check that.
- Then there is one file I have seen, but for some reason
- 3 hasn't come forward on the photocopy, and I'm going to
- 4 see what's happened to that file this afternoon.
- 5 Therefore you don't have it in your pack, but I'm going
- 6 to see why. There may be a reason for that. Then there
- 7 are two which we've not seen at all and we understand
- 8 that they are still being chased from storage. So
- 9 obviously they're not in your pack either.
- 10 That's the breakdown, but I'll go through now and
- 11 tell what you we did and what happened, or rather what
- 12 I did, more to the point.
- 13 The inspection started on Wednesday and it continued
- into Thursday, when in the evening we were joined by
- 15 Dr Scott-Jupp. That inspection continued on with him
- 16 until Friday. The first task was to identify and
- 17 separate out Dr Steen's files, of which there were ten.
- 18 And then there were three of Dr Webb's, three of
- 19 Dr Reid's, two of Dr Hill's and five of Dr Redmond's.
- 20 Once we had done that, then we started to look through
- 21 those files to -- that produced 14 -- identify which of
- them were within our time frame.
- 23 When we did that, we got down to 14 within our time
- frame, nine for Dr Steen, one each for Drs Webb, Reid
- 25 and Hill, and two for Dr Redmond. There was a file

- which looked potentially relevant of Dr Redmond's, but
- 2 when we examined it, it turned out that that patient
- 3 hadn't been transferred to Allen Ward until the 23rd,
- 4 and so actually came after the point of interest because
- 5 by that time Claire, unfortunately, suffered her
- 6 terminal collapse. So we excluded that file even though
- 7 prima facie it looked as if it was an appropriate
- 8 admission.
- 9 In the course of that, we realised that there
- 10 weren't any Cherry Tree ward files at all and there were
- 11 these two files in relation to Musgrave Ward from
- 12 Dr Steen. Plus there remained three files that we had
- 13 not seen and these are three files that we are still
- 14 trying to get access to that appear to be relevant. We
- don't know because we have no details for them. They
- are still in storage somewhere.
- 17 Then in terms of the process of redaction, what we
- 18 did was we went through them, we examined them.
- 19 Anything which went to show either where Dr Steen was on
- 20 Tuesday the 22nd, what she was doing and how accessible
- 21 she was to her junior doctors, and Dr Webb, and the
- 22 nurses who were all involved in Claire's care on that
- 23 day. That's what we were looking for.
- 24 Dr Scott-Jupp, the inquiry's consultant paediatric
- 25 expert, was present throughout the redaction process and

he examined all those 14 files. The principal documents he was looking at, for those who have seen files like this before, were the white A&E note, the yellow admissions slip, any referral correspondence, the clinical notes since admission, the prescription and drug charts, any observation and fluid balance charts, the nursing notes and then the pink discharge summary. So that's what he was primarily looking at.

1

2

3

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

He advised that it was important to gain an appreciation of the seriousness of the patient's condition, or the level of concern of the patient, going into Tuesday 22 October if you wanted to try and reach any conclusions or make any deductions as to the significance or not of a failure to see Dr Steen's name in those notes and records. As he said, if that condition wasn't particularly serious then it may be that the fact you don't see Dr Steen's name in there doesn't mean anything other than the fact that they wouldn't have been seeking to make contact with her anyway and that doesn't help you in understanding whether she was there and whether she was available and accessible to them. So that is why Dr Scott-Jupp wanted to retain some of the information about the condition of the patient.

Sometimes that was necessary for some of the

post-discharge documents. They also, some of them,

indicated a level of concern. In one or other case,

there was correspondence with specialists, and that

might have told you something about how concerned they

were about that patient on the 22nd.

So when we had done all that, a copy was made of the relevant original files with the guidance of Dr Scott-Jupp and the following things were redacted: the names and contact details of the patient and their next of kin, the date of birth of the patient, the names and contact details of the patient's GP, and all reference to the medical condition, save to show those three things that I mentioned before. And that redaction was primarily carried out by the Trust. Once that had happened, I took the original redacted copy with me. Two copies remained in the Trust and it's those original redacted copies, copies of which you now have. So that's what happened.

In some cases, the discharge summary sheet, which is this pink thing which is almost like a carbon copy -the writing on that was very poor, and it just didn't come out, even in the first photocopy, let alone the multiple photocopies. So Dr Scott-Jupp assisted in having a typed version and you'll see that in the back of some of those files. So that's his assessment as to

1 what that writing is.

You know about the developments and why we had to go back, so I won't take you into all of that. But I will take you now to the files themselves to see if I can assist you with it. And before that, I will give you a little bit of a summary as to what we found.

Of the 14 patients' notes and records we looked at, we could find none which related to other consultants where we saw a reference to Dr Steen. The purpose of including the medical notes and records for the patients of those other consultants was just as in the same way as Dr Webb, for example, was called to see Claire, it may have been possible that Dr Steen was called to see another consultant's patient as an urgent matter or for some other reason and that we would see a reference to that, and that might assist as to what she was doing and how accessible she was. But so far as we could tell, we could see no actual reference to her name.

It doesn't mean that didn't happen, and when one looks at it and people give evidence, maybe one sees the evidence of it, but so far as I could see there was no reference to her for any of the other consultants.

In relation to her own, there were nine patients.

So far as we could tell, her name was actually recorded only in relation to S4 and S7. There was an initialled

- note in relation to S8. And I will take you to those places.
- 3 All in all, it would appear that ten ward rounds are recorded as having been carried out by the junior paediatric team on 22 October and Dr Sands carried out 5 6 most of them. He carried out ward rounds in relation to 7 patients S1, S3, S4, S5, S6, S8, S9 and one for Dr Hill, 8 H1. Dr Stevenson carried out ward rounds in relation to two patients, S2 and MR1, which is Dr Reid, a patient of 10 Dr Reid. Both Dr Stevenson and Dr Stewart attended the ward rounds and they made notes. They weren't both 11 12 there for all of them, so Dr Stevenson was in attendance 13 on Dr Sands for S3, S4, S5 and S9. And Dr Stewart was 14 in attendance S1, S2, S6, S8 and H1. And that is just 15 to help you as to where people were.

16

17

18

19

20

21

22

23

24

25

So far as we could tell, there is no ward round record for one of Dr Steen's patients, which is S7. S7 is one of the patients in which her name is associated. The admission sheet, and we'll go to it, shows that the patient is being sent to Allen Ward on 22 October at, it would appear, 13.33. And that is at reference 150-007-002. And you can see the nursing note, which is 150-007-007. That will be a matter of evidence, but it may be that that patient arriving on the ward at some time after 1.30 in the afternoon may have been too late

- 1 for a ward round.
- 2 The clinical record, first entry for 22 October, is
- 3 a note at 1700 hours that Dr Stevenson makes. There is
- 4 a ward round in relation to that patient on 23 October,
- 5 which is a ward round I believe that Dr Stewart makes,
- 6 but in any event we'll go to that.
- 7 If we go to the files themselves. If we go to S1,
- 8 by the admission sheet, you see this patient comes in on
- 9 the 21st. These files are in roughly the same format.
- 10 Unless there are referral letters or something of that
- 11 sort, they start with the prescription sheet, they go
- 12 into the clinical notes and records, they go into the
- 13 nursing notes and records and they end with the
- 14 discharge summary. Sometimes there are some added
- documents, but that's the general format of them.
- 16 For S1, admitted on the 21st. This patient is
- 17 admitted to Allen Ward. You can see that on 001-003.
- 18 Then if one goes over a couple of pages to 005, you will
- 19 see that that is a ward round which is taken by Dr Sands
- 20 and that initial there is an initial by Dr Stewart, he's
- 21 the SHO. Dr Stewart's form seems to leave some space
- 22 between his note and his signature. That may be
- 23 relevant when you see later on another file that there
- is that space. But in any event, I just draw that to
- 25 your attention.

- 1 If you then look a little bit further down you'll
- 2 see "Ward round, Dr Hill". Well, "W/R" is "ward round,
- 3 Dr Hill", that's Dr Hill. That is another consultant
- 4 coming to see this patient. It may be that this was
- a long-standing patient of Dr Hill, we don't know. But
- 6 in any event, I simply draw that to your attention, that
- 7 that is a consultant, for a patient of Dr Steen's, other
- 8 than her, seeing that patient. And the circumstances of
- 9 how that came to be, given that we know another
- 10 consultant was involved in Claire's case, which is
- 11 Dr Webb, that will be a matter for evidence.
- 12 Over the page, we see at 006, we see "Ward round,
- 13 medical SHO".
- 14 MR FORTUNE: Sir, can I just interrupt my learned friend
- when she says that, on page 005, Dr Hill as a consultant
- 16 came to see this patient and it's unknown as to whether
- 17 this patient had previously been a patient of Dr Hill.
- 18 On 003, the past medical history is in fact redacted;
- is that relevant?
- 20 MS ANYADIKE-DANES: I don't believe so because, if you bear
- 21 with me and I take you to 011, you will see that's the
- 22 discharge summary. And you see:
- "Review arrangements as per Dr Hill."
- And then if one goes forward to 009, which is the
- 25 nursing note, this is the nursing note at 12 midday.

- 1 There's a record of the saturation levels. I think this
- 2 is Nurse Linsky. Then:
- 3 "Seen by Dr Hill. To commence physio."
- 4 And some other thing that I can't quite work out
- 5 what it means.
- 6 MR FORTUNE: [Inaudible: no microphone.]
- 7 MS ANYADIKE-DANES: It does look like that.
- 8 Then if you go to 008, this is the details that the
- 9 nurses take. You can see:
- 10 "Outpatients appointment as per Dr Hill."
- 11 Do you see that? So this may indicate that this may
- 12 have been a Dr Hill patient and that might have been why
- 13 Dr Hill came on the ward to see the patient, but
- 14 nonetheless the patient was admitted under the name of
- 15 Dr Steen.
- 16 THE CHAIRMAN: And you get that at 002.
- 17 MS ANYADIKE-DANES: Exactly.
- 18 So if one then moves on to patient S2. We can see
- 19 from the admission sheet that this patient is also
- 20 admitted on 21 October, admitted to Allen Ward. This is
- 21 a patient in respect of which a little bit more
- 22 information is given than the standard. If you see at
- 23 012, this is a letter that is written by Dr Livingstone,
- 24 an SR, senior registrar, on 21 October. It's a letter
- 25 to Dr Bartholome thanking her for accepting this child,

- 1 who had attended the Cupar Street clinic. This is the
- 2 same clinic that Dr Steen was attending on the afternoon
- of the 22nd or at least was rostered to do so.
- 4 There is quite a bit of detail about why
- 5 Dr Livingstone is referring this child to the hospital.
- 6 Then if one sees over the page, 002-013, "On
- 7 examination", and the details there. And then the
- 8 queries:
- 9 "Query myocarditis, query cardiomyopathy."
- 10 It'll be a matter for evidence how serious those
- 11 conditions are that are being queried there. But that
- 12 in any event indicates why the child was coming to the
- 13 hospital.
- 14 Then if one goes to 014, you see that that's a note
- 15 there for the 21st, which is trying to provide the sort
- of information that Dr Scott-Jupp thought might be
- important in order to put in context this child's
- 18 condition.
- 19 Then if we go forward to 018, you see the ward round
- there, "medical SHO". That is unsigned, as it starts,
- 21 and then as that note continues, it's signed by
- 22 Dr Stewart. So it may be that that first part of the
- 23 writing seems to be writing by Dr Stevenson, but that'll
- be a matter for him. But in any event, it's concluded
- 25 by Dr Stewart, which may suggest that one or both of

- them were there for that ward round on the 22nd. It
- certainly doesn't make any reference to Dr Steen
- 3 in that.
- 4 Then if one goes forward to 023, this is a letter
- 5 that is going to another consultant. It's a letter sent
- 6 by Dr Sands to Mr Cinnamon, who's a consultant ENT
- 7 surgeon, a letter dated 5 November 1996. And it's
- 8 redacted as far as Dr Scott-Jupp thought that we could.
- 9 But if you look, "On admission", which is that sort of
- 10 third paragraph, there is a description of the condition
- 11 of the child. Then it seems that the admission has not
- 12 actually resolved, although that will be a matter for
- 13 others to say. The queries that originally caused the
- child to be admitted to hospital, it'll be a matter for
- others how important and significant that child's
- 16 condition was.
- 17 The discharge sheet there, which you see at 024, is
- 18 signed by Dr Stewart.
- 19 So then if we go to S3, this child is admitted again
- 20 on the 21st to Musgrave Ward this time. If we move
- 21 forward to 005, you see this is a four-week old child
- 22 coming in at 2.20 in the morning. Over the next few
- 23 pages there is quite some description as to the
- 24 presentation in detail. In fact, you can see who signs
- off on that, 007, that's Dr Volprecht, senior SHO

signing off, and then after that you have the ward round taken by Dr Sands. There's no date there, but if one goes over the page, you see there's another entry, which I'll take you to in a minute, also on the 22nd, and that's timed at 4 pm. And then the note after that is a ward round on the 23rd. So it would appear that although it's not dated, because it comes under the 22nd date right at the top, that that is intended to indicate a ward round that Dr Sands took on the 22nd.

Then if one goes to the page 008, you see what the plan is:

"Discuss with cardiology re further opinion."

And what happens, it would appear, at 4 pm is the further opinion by the consultant cardiologist. I have sought the nursing note for this, for some reason that didn't come through with this file. I don't know why that is, but I've already asked for them to be provided, which I think makes the involvement of the cardiologist a little clearer, and you will have those when they come. In any event, the relevance of that is that that is another occasion with Dr Sands seeking expert opinion and that note is signed off by Dr Stevenson. There appears to be no reference to Dr Steen in doing that, nor does there appear to be any reference to Dr Steen in the note that seems to have been made by the

- cardiologist when he attends at 4 pm. So that's patient
- 2 number 3.
- 3 MR FORTUNE: Before my learned friend moves on to patient
- 4 number 4, is it accepted or is it suggested that the
- 5 reason for this child's admission to Musgrave Ward is
- 6 because the beds in Allen Ward were all occupied?
- 7 MS ANYADIKE-DANES: I've no idea. That will be a matter for
- 8 evidence.
- 9 MR FORTUNE: I'm just asking at this stage, bearing in mind
- 10 how my learned friend has gone through this matter with
- 11 Dr Scott-Jupp.
- 12 THE CHAIRMAN: I'll be corrected by Ms Anyadike-Danes, but
- 13 this is one of the reasons we had to go back to the
- 14 High Court because we were originally told Allen Ward
- 15 was full and then Cherry Tree ward was the overflow.
- 16 And then it turns out there were some other references
- 17 to Musgrave Ward, so we're not entirely sure what the
- 18 picture was and I'm not sure if we ever will be entirely
- 19 sure what the picture was.
- 20 S4?
- 21 MS ANYADIKE-DANES: Yes, thank you. In fact, when we see
- 22 the nursing notes, I think one will see that the
- 23 cardiologist who attended was Dr Mulholland, and I think
- he attended at 4.
- 25 S4 now. That's an admission also on the 21st to

- 1 Allen Ward. You see at 003 the entry there at 16.30,
- the description on admission. Over the page at 004, you
- 3 see that's signed off by Dr Stewart. Then at 005 you
- 4 see the ward round. It says:
- 5 "Ward round, Dr Sands."
- 6 And because the following page, 006, is the 23rd,
- 7 "Ward round, medical SHO", we have interpreted that
- 8 that is a ward round that took place on 22 October. If
- 9 we're wrong on that, somebody will give evidence, I'm
- 10 sure, to correct that.
- 11 MR COUNSELL: With respect, pretty unlikely I'd have thought
- 12 given that it's 16 years ago.
- 13 I wonder if I could ask whether any of the redacted
- 14 writing at the top of page 005, which looks to me as
- 15 though it's in a different hand, helps to indicate
- 16 whether the ward round for Dr Sands at the bottom half
- of the page was indeed on the 22nd.
- 18 MS ANYADIKE-DANES: No, it doesn't. It looks as if that
- 19 ward round was on the 22nd, but in answer to you: no,
- 20 I don't think that does help. As it turns out, as we
- 21 went through these, there were a number of admission
- 22 details taken by, for example, fifth-year medical
- 23 students. It would appear that they were being marked
- on them, and quite often the doctor, the SHO or the
- 25 registrar who took the ward round then, then would

- 1 actually record their own details of it, if you like, so
- 2 I'm not sure that takes us very much further forward.
- 3 Because we're only trying to have in here what we really
- 4 have to have, we have got rid of the medical students'
- 5 details unless they tell us something that the
- 6 clinicians' notes doesn't.
- 7 THE CHAIRMAN: But one of the reasons why the best guess is
- 8 that the ward round was on the 22nd is that this is
- 9 a patient who wasn't admitted until after 4 o'clock on
- 10 the 21st.
- 11 MS ANYADIKE-DANES: Exactly.
- 12 THE CHAIRMAN: At the top of page 3, there's an entry,
- "21 October, 16.30".
- 14 MS ANYADIKE-DANES: Yes.
- 15 THE CHAIRMAN: And then we do not believe that on the
- 16 information we have that Dr Sands was on duty overnight.
- 17 So it's most likely that his note relates to the 22nd.
- 18 MS ANYADIKE-DANES: Yes. Just to help, as you were there,
- 19 Mr Chairman, on 003, very often when these earlier
- 20 histories are being taken, what is there is actually the
- 21 patient's history in terms of family history. We've
- 22 just redacted that. So what we have tried to retain is
- only those things that relate to the examination. So
- that shouldn't be interpreted as maybe that's another
- 25 day slipped in there or anything of that sort. It's all

- 1 the same day, we just don't see for the purposes that we
- are requiring to look at these notes that it really
- 3 matters or is relevant and appropriate to have their
- 4 family details indicated there. So that's why that
- 5 happens in that way. So that's all one note, which is
- 6 signed off by Dr Stewart.
- 7 Then you get that ward round note and then the page
- 8 immediately after that is the 23 October ward round. So
- 9 that is why I believe that to be a ward round by
- 10 Dr Sands on 22 October.
- 11 Then if one goes to the nursing note, this is,
- 12 I think, the first of the ones where ...
- 13 THE CHAIRMAN: Page 7 you're going to, I think.
- 14 MS ANYADIKE-DANES: Yes. If one is at 007 and you see
- a note that is taken by Staff Nurse Reid [sic], who is
- 16 a witness in these proceedings, and you see 8 am to
- 17 2 pm:
- 18 "Seen by Dr Steen. To continue regular nebuliser
- 19 today, plus steroids."
- I think that's what that says. That, I think, is as
- 21 much indication as we have on that.
- 22 MR FORTUNE: Can I correct my learned friend? We think it's
- 23 Staff Nurse Field, not Reid.
- 24 MS ANYADIKE-DANES: I'm so sorry, Field, yes.
- 25 Then S5 then. That's a patient admitted on the 22nd

- 1 to Allen Ward. One of the things that may be of note --
- 2 this patient is seen on the ward just a little after
- 3 1 am by Dr Volprecht. This is quite a lengthy note that
- 4 Dr Volprecht makes of this patient. If you see at 005,
- 5 the summary is given there:
- 6 "Summary. Three year-old with febrile convulsions."
- 7 And so on. Then one sees the plan, "U&Es". Then
- 8 you see the choice of fluids and the rate of it. That
- 9 may be relevant.
- Then on the 22nd, immediately thereafter,
- 11 22 October, "Ward round by Dr Sands". And he makes his
- 12 own note in relation to the febrile convulsions and,
- over the page, his own plan. It's not his own note;
- 14 that note is signed by Dr Stevenson, which you see at
- 15 006. You see that child is examined again by the
- 16 medical SHO, who may be Dr Stevenson, as he signs that
- 17 note:
- 18 "5.30. Well over that day and advice being given."
- 19 If one goes to page 008, which is the nursing note,
- 20 you see just below the third redacted part:
- 21 "Alert and oriented. Has had meningitis in 1994 and
- 22 can become very chesty. Asthmatic at times."
- 23 That might indicate any level of concern there might
- 24 have been:
- 25 "Presenting with febrile convulsions. To be seen by

- 1 doctor."
- 2 It doesn't say which doctor, but the records that
- 3 we have are the ones I've taken you to as to which
- 4 doctors are actually recorded as having seen that child.
- 5 The discharge note is by Dr Stevenson.
- 6 Then if we go to S6, that child was also admitted on
- 7 the 21st to Allen Ward. This was a transfer,
- 8 apparently, from the Mater. Then if one goes to 004,
- 9 it is the ward round by Dr Sands on the 22nd. That
- 10 writing, I think, indicates a note by Dr Stewart.
- 11 Unfortunately, it had actually fallen off the page so
- 12 you can't see the completed bit. It does exist in the
- 13 medical notes, it's just the way the thing has been
- 14 photocopied. I've asked for another copy of that page
- 15 so you can see what's actually written there and
- 16 I understand that's going to be provided to me this
- 17 afternoon.
- 18 Then the nursing note, I think, indicates that the
- 19 child was seen by Dr Sands. You can see that at 007.
- 20 8 am to 10:
- 21 "Both parents in attendance. Continues to feed
- 22 well. Seen by Dr Sands, registrar."
- 23 Then S7. This is a child who is admitted on the
- 24 22nd to Allen Ward. If one goes to 003, you see the
- 25 22nd, medical SHO, and then a note at 5 pm, "Problems".

- 1 Actually, it's worth looking at that admission sheet.
- 2 If you look back at the admission sheet at 002, this was
- 3 the yellow flimsy that is preceded by the note on the
- 4 ward as to when the patient actually arrives on the
- ward, but there it shows the patient being despatched,
- 6 if I can put it that way, to Allen Ward, 13.33. The
- first note we have on the 22nd is this note at 5 pm, the
- 8 medical SHO taking it.
- 9 And then if you go just above where the redaction
- is, you can see that this is actually a child with
- 11 cerebral palsy and so forth, epilepsy. And just above
- 12 that redaction:
- 13 "Seen by Dr Steen. Admit for further assessment and
- 14 management."
- 15 So that is all part of the note recorded at 5 pm.
- 16 The next note we have is actually the next ward
- 17 round, which is the next day, and it's taken by the
- 18 medical SHO. It appears to be Dr Stewart's note. The
- 19 note at 5 pm is signed off by Dr Stevenson. You can see
- 20 that just above the 23 October note.
- 21 MR COUNSELL: I wonder if I might just enquire if on those
- 22 two pages whether, from the parts that have been
- 23 redacted, it's clear that the note which begins at 5 pm
- on 22 October and, on our copy, appears to run over into
- 25 page 004, is all one entry, so to speak --

- 1 MS ANYADIKE-DANES: Yes, it is.
- 2 MR COUNSELL: -- and that there isn't a page in between
- 3 which has been left out?
- 4 MS ANYADIKE-DANES: Not that I have seen. When I was
- 5 explaining to you before, when these notes are made
- 6 there is a section invariably inserted called "Patient's
- 7 history". That is a section very often that has
- 8 particular details of the family. Those details, the
- 9 inquiry's expert has said, don't relate to the
- 10 condition. They don't assist with the exercise we're
- 11 engaged in, so those have been redacted.
- 12 If you go to page 007, you see at 2 pm, this is
- a note, it would appear, by Staff Nurse Spence:
- 14 "2 pm. Mum phoned Dr Steen this morning,
- 15 concerning ... Reflux, brought down to Allen Ward at
- 16 1.30 for admission."
- 17 Which sort of ties in with the yellow slip.
- 18 Then it records what the mother had done. Then you
- 19 see at 8 pm a note:
- "Seen by doctor."
- 21 No indication who that might be:
- "Medication written up."
- 23 So this is one which also refers to Dr Steen.
- 24 THE CHAIRMAN: But the specific point here is that this was
- apparently, according to the note, a mother who

- 1 contacted Dr Steen directly by phone --
- 2 MS ANYADIKE-DANES: Exactly.
- 3 THE CHAIRMAN: -- and that led to the child being admitted
- 4 around lunchtime, having the contact from the mother,
- 5 the contact from the mother having been some time over
- 6 the previous few hours.
- 7 MS ANYADIKE-DANES: That's correct.
- 8 MR FORTUNE: Before my learned friend moves on, could my
- 9 learned friend assist you? If you look at 002, the
- 10 patient was admitted on to Allen Ward at 13.33. So
- 11 effectively half past one in the afternoon of the 22nd,
- the Tuesday.
- 13 THE CHAIRMAN: Yes.
- 14 MR FORTUNE: Yet if you look at 003, the note made by
- 15 Dr Stevenson, which refers to 5 o'clock, has the entry:
- 16 "Seen by Dr Steen. Admit for further assessment and
- 17 management."
- 18 Admit to where? Is this a note made in relation to
- 19 an examination in A&E? Because "admit "-- the child's
- already been admitted.
- 21 MS ANYADIKE-DANES: That's not something I'm in a position
- 22 to help with. I can go back and extract the A&E
- 23 admission white copy if that helps. I doubt that it
- 24 will shed light on that, but if it does, I can do that.
- 25 MR FORTUNE: Well, I'm just trying to understand how this

- 1 child --
- 2 THE CHAIRMAN: It doesn't look like an admission through A&E
- 3 if the contact is made by the mother of the child
- 4 ringing Dr Steen. It doesn't necessarily exclude it,
- 5 but it doesn't suggest that that's the route of
- 6 admission.
- 7 MR FORTUNE: But obviously subject to taking instructions,
- 8 this child has been admitted at half past one and yet
- 9 there is a note saying the child is to be admitted later
- 10 on that afternoon.
- 11 THE CHAIRMAN: Okay. We'll see.
- 12 MS ANYADIKE-DANES: Well, I suspect that's one of the things
- 13 that we thought your client might be able to help us
- 14 with.
- 15 MR FORTUNE: Bearing in mind, of course, I haven't had the
- opportunity to take instructions.
- 17 THE CHAIRMAN: Yes.
- 18 MS ANYADIKE-DANES: So then if we go to S8, this child is
- 19 admitted on to Allen Ward on the 21st. There's quite
- a long note signed at 004 by Dr Stewart. If we go to
- 21 005, we see the ward round for the 22nd. The ward round
- 22 is taken by Dr Sands. This is the one that I mentioned
- 23 appears to have -- and I think Dr Steen has confirmed
- 24 it -- her initials. So this is worth looking at.
- 25 The ward round is taken by Dr Sands on the 22nd.

- 1 The note of it, rather, is signed off by Dr Stewart.
- 2 And in between the end of whatever he was writing and
- 3 his signature, there is some notation in relation to the
- 4 prescription. There is actually a colour version of
- 5 this because some of these things are in different inks.
- 6 I'm sorry, I had intended you to have the colour version
- 7 of it and somehow that hasn't happened. I will make
- 8 sure that we get a colour version so that you can see
- 9 the different inks. The reason for that is that it
- 10 helps to clarify what within that body of the note that
- 11 Dr Stewart has made would seem to be his ink, if I can
- 12 put it that way, and what seems to be the ink associated
- 13 with the person who initialled, which is Dr Steen.
- 14 THE CHAIRMAN: And just for confirmation for people who
- 15 haven't seen these before today, we're at the top of
- 16 page 5. It's the entry for 22 October, "Ward round by
- Dr Sands", signed at the end, Dr Stewart below that,
- 18 SHO, but in the line above Dr Stewart's signature, the
- 19 last few letters, "HSS". And that is confirmed as
- 20 Dr Steen?
- 21 MS ANYADIKE-DANES: In fact it could be "HJS", but in any
- 22 event Dr Steen has confirmed it.
- 23 MR FORTUNE: It's "HJS" because those are Dr Steen's
- initials. In fact, the writing, as we understand, on
- 25 the two lines above Dr Stewart's writing ...

- 1 MS ANYADIKE-DANES: I think it starts with a dash, then
- 2 "on".
- 3 THE CHAIRMAN: "On pulmicort"; is that it?
- 4 MR FORTUNE: Pulmicort.
- 5 MS ANYADIKE-DANES: "Medication by inhaler [and so forth]
- 6 one to four clicks, four-hourly."
- 7 And so forth. That would appear to be Dr Steen's
- 8 addition. The only issue is a matter of timing, which
- 9 is when that was added to it. And that is why it's not
- 10 necessarily clear that it was added to it at ward round,
- 11 and you yourself will have seen, as I've gone through
- 12 these things, that when Dr Stewart writes his ward round
- 13 note, he quite often has a gap between the last bit of
- 14 his entry and his signature. So that is a note that
- 15 could have been added at any time that day. So since
- 16 what we're actually trying to do is trying to find out
- if these notes help us with where Dr Steen was and what
- 18 she was doing, one looks at that with a degree of
- 19 caution in terms of trying to figure out what that
- implies about when the note was actually written.
- 21 MR FORTUNE: One question: bearing in mind the likelihood
- 22 that we will be able to pick up the file relating to the
- 23 extra three patients tomorrow, would we be able to pick
- 24 up a colour copy of this particular page?
- 25 MS ANYADIKE-DANES: That's what I'm going to try and

- 1 arrange.
- 2 THE CHAIRMAN: Mr Green?
- 3 MR GREEN: I just wondered if the next entry, which has been
- 4 redacted, had a time in the left-hand column, which
- 5 might help further in trying to identify when this
- 6 earlier entry was made?
- 7 MS ANYADIKE-DANES: I don't think it does, which is why it
- 8 has been redacted.
- 9 MR GREEN: Thank you.
- 10 THE CHAIRMAN: Okay. S9?
- 11 MS ANYADIKE-DANES: Yes. If we then go to S9. This is
- 12 a patient admitted on to Musgrave Ward on the 22nd.
- 13 This patient is seen at 3.20 in the afternoon. And the
- note is taken by Dr Volprecht, which you can see at 004.
- Then in a way that's not entirely clear about the
- 16 timings, there is at 005 -- what is blacked out there is
- 17 actually a delivery sticker with a name and address and
- 18 so forth. That's why all that is blacked out. In any
- 19 event, you see on the left-hand side what looks like
- 20 "22 October 1996", and then immediately underneath
- that is "Ward round, Dr Sands".
- 22 So it's not quite clear when that ward round was
- 23 being taken. But I think it may be that Dr Volprecht
- 24 has got the timings wrong because if you look at the
- admission sheet on 002, although this child is

- 1 definitely admitted on 22 October, she's to go to the
- ward at 1.58 in the morning, and it may be that that
- 3 3.20 is actually an am rather than a pm. We don't know.
- 4 In which case, if that's the case then that all makes
- 5 sense with a ward round at a normal time in the morning
- on the 22nd by Dr sands.
- 7 THE CHAIRMAN: Because, as far as we know, Dr Volprecht was
- 8 not on duty at 3.20 pm on the 22nd.
- 9 MS ANYADIKE-DANES: Yes, that's correct.
- 10 Then, Mr Chairman, if you see at 007, which is the
- 11 nursing note, you can see then:
- 12 "8 am to 12. In for observation. Waiting to be
- 13 seen by medical staff query ... 2 pm. Seen by doctor
- 14 from Allen Ward for discharge home."
- With the advice given to the mother.
- 16 The doctor might be Dr Sands. That's the only
- 17 record that I could see of a doctor seeing that child
- 18 before. And if one looks at the discharge sheet at 008,
- 19 you see that child is discharged on the 22nd. So
- 20 it would seem that the child came in in the early hours
- of the morning, was discharged later that day, and there
- doesn't appear to be a record of Dr Steen. There is
- a record of Dr Volprecht taking the initial examination
- and there is a record of a ward round by Dr Sands.
- 25 Whenever that ward round was, it would have to have been

- 1 at some point on 22 October because she's discharged on
- 2 22 October.
- 3 THE CHAIRMAN: On page 5, is that Dr Sands or Dr Stevenson
- 4 who signs it?
- 5 MS ANYADIKE-DANES: That is Stevenson.
- 6 THE CHAIRMAN: But the last two lines are:
- 7 "Plan home, advice to mother".
- 8 MS ANYADIKE-DANES: Exactly.
- 9 MR FORTUNE: It's most unlikely that, given the age of the
- 10 child and given the presenting complaint, that the child
- 11 would have been left for at least 12 hours without being
- 12 examined by a doctor.
- 13 THE CHAIRMAN: Let's hope not.
- 14 MS ANYADIKE-DANES: Yes. So then the last few, Dr Webb.
- 15 THE CHAIRMAN: Is this W1?
- 16 MS ANYADIKE-DANES: W1. This is a child that is admitted on
- 17 15 October to Allen Ward. This is one of these children
- 18 where Dr Scott-Jupp felt it was important to have some
- 19 of the earlier records there. So he has advised that we
- 20 retain -- and we have -- the note by Dr Webb himself,
- 21 which you see at 003. That's his note at 16 October.
- 22 It's quite a detailed note. Over the page, 004, what is
- 23 taken out is the patient history. You see this child
- 24 had developmental delay.
- 25 Sorry, if we go back again, just so that you see

- what this child came in with:
- 2 "Having episodes from six weeks. Brief extension
- 3 spasms [and so forth]."
- 4 Then over the page at 2, "Developmental delay".
- 5 Then quite a bit of description about the extent of
- 6 control and so forth that Dr Webb gives. Then if you
- 7 look at 005, firstly, you can see that's his note, he
- 8 signs it. But then you see the plan. The first part is
- 9 to book an MRI scan and then the second is EEG. This
- 10 may be relevant to consider to what extent there were
- 11 EEG facilities available. And if you look over the page
- 12 to 006, you see this is 19 October, and this has been
- 13 retained because there is a reference to the EEG. You
- see, just two lines above Dr Webb's signature, so he's
- seeing this child again and making a note:
- 16 "Will be having MRI on Thursday, EEG Monday."
- 17 That will be Monday 21st:
- 18 "Could go out afternoon, leave over weekend."
- 19 So that's the plan. Then you see the 21st, that's
- 20 a note -- it doesn't really matter, it's not any of the
- 21 SHOs or registrars involved here.
- 22 The 21st, you see:
- "Full EEG/MRI."
- On the 22nd you have a note:
- 25 "No more seizures. Sleep deprived. EEG today."

- 1 So that child was going in for an EEG on the 22nd.
- 2 Then if one goes over the page at 007, you see the
- 3 nurse's note, 21 October, the note from 8 am to 2 pm:
- 4 "EEG to be done tomorrow morning at 10.45 am."
- 5 Then you see the note for the 22nd. And just above
- the 2 pm to 8 am, you see:
- 7 "EEG this am."
- 8 And it's signed off by Nurse Linsky. If you go over
- 9 the page to 008, that is the EEG report. Although
- 10 we have tried to exclude the names of people not
- 11 otherwise concerned, but this is the reader and it may
- 12 be relevant to know what technicians were actually
- available for EEGs on the 22nd. So on that particular
- 14 circumstance, we've left the name of the reader in
- 15 there. You see the diagnosis, it's the date of
- 16 22 October, and there's Dr Webb.
- 17 And the discharge summary, that was a 14-week old
- 18 child.
- 19 So Mr Chairman, the reason why that was left in and
- 20 that level of detail is lest be there any issue as to
- 21 the availability of EEG services on the 22nd, that at
- 22 least establishes that a child had an EEG on the morning
- 23 of the 22nd. We are trying to find out what was
- 24 available on the afternoon of the 22nd, and we're still
- awaiting a response to that. In particular, what we've

- asked them is: is there any evidence that there were,
- for example, any outpatients who had EEGs on the
- 3 afternoon? There may therefore be an issue where, if
- 4 it is the case that you can't arrange for an emergency
- 5 EEG, maybe there is a facility of replacing or putting
- 6 higher up in the priorities a child whose condition is
- 7 more serious than another that is a planned EEG when
- 8 that child's condition perhaps is less serious.
- 9 So that was Dr Webb's patient. Then H1 is Dr Hill's
- 10 patient. He's a paediatrician.
- 11 THE CHAIRMAN: I think she's a paediatrician.
- 12 MS ANYADIKE-DANES: Sorry, I beg your pardon. It's
- 13 Dr Nan Hill. Her patient was admitted on the 19th to
- 14 Allen Ward. You see that at 003. And then if we move
- through the pages to 005, you see that on 22 October,
- 16 Dr Sands took the ward round. So he took a ward round
- for that patient of Dr Hill's. That would appear to be
- 18 Dr Stewart's writing, recording that.
- 19 Then over the page at 007, you see the nursing note:
- "Seen by doctor and discharged home."
- 21 And that's Nurse Spence who makes that note. And
- the doctor who seems to be referred to there is
- 23 Dr Sands. If one looks at 008, one sees that it's
- 24 Dr Stewart who signs off on the discharge summary and
- 25 that child was discharged on the 22nd.

- 1 THE CHAIRMAN: And this is illustrating the way in which
- 2 junior doctors were moving apparently between the
- 3 patients of different consultants?
- 4 MS ANYADIKE-DANES: Yes. Consultants did too, but junior
- 5 doctors were apparently -- well, the evidence there is
- 6 that they were taking ward rounds for other consultants,
- 7 which is one of the reasons why we wanted to include
- 8 some of these other patients to try and assist with the
- 9 availability of Dr Steen. But it also has had
- 10 a by-product of saying something about the availability
- of some of these junior doctors.
- 12 MR FORTUNE: Going back to 005, Dr Stewart is usually good
- about signing his notes. There doesn't appear to be
- 14 a signature on 005, but there are three lines redacted.
- 15 MS ANYADIKE-DANES: That's correct. I don't think that
- 16 helps us with that. If there had been a signature,
- 17 I think that would have been retained, but I will check
- 18 that.
- 19 MR FORTUNE: Yes, it's just that Dr Stewart normally signs
- 20 his notes.
- 21 THE CHAIRMAN: He does, but I think the redacted lines look
- 22 like they start with a different entry. That's why it
- runs into is the left-hand column.
- 24 MS ANYADIKE-DANES: Yes:
- 25 "Re home if appetite improves."

- 1 That was his ward round on the 22nd. And over at
- 2 008, he is signing that the child is discharged and he's
- 3 the person who signs the discharge note on the 22nd, but
- 4 I will check that.
- 5 Then Dr Redmond's patients. Dr Redmond's patients
- 6 whose notes and records we've seen, they all relate to
- 7 patients with a particular condition. That is
- 8 a condition that the Trust expressed some concern about,
- 9 might actually be an identifier for these children.
- 10 We are trying very hard not to refer to that in a way
- 11 that can be further disclosed, if I can put it that way.
- 12 MR FORTUNE: Well, my learned friend can assist you, sir,
- 13 because if you go to 004, to the entry "Ward round,
- Dr Redmond", you can see what is set out in the next
- 15 line. That's the condition.
- 16 MS ANYADIKE-DANES: It is the condition. That's why I'm not
- 17 reading it out. But it's there because it is relevant
- 18 to how serious the child's condition may or may not have
- 19 been. But these documents are not going up on the
- 20 website, so it is here for your use and for you to see
- 21 how serious that child might have been.
- 22 But in any event, one sees on 003 that the child
- 23 comes in, a six-week old baby, to Allen Ward. One sees
- 24 at 004 it's Dr Redmond's patient and Dr Redmond who
- 25 takes the ward round. That's his SHO who signs that

- 1 note.
- 2 MR FORTUNE: Dr Redmond is a her.
- 3 MS ANYADIKE-DANES: Her patient. I'm very grateful, sorry.
- 4 So the only relevance of that is to show that
- 5 Dr Steen does not appear in that note at all for
- 6 somebody else's patient.
- 7 Then if we go to the second one, that patient is
- 8 admitted on the 15th and comes to Allen Ward.
- 9 MR FORTUNE: On the 16th.
- 10 MS ANYADIKE-DANES: Sorry, the 16th. I beg your pardon.
- 11 Then if one looks at 004, you see that at that stage
- 12 it's day 7. Apparently in relation to this condition,
- 13 they are sometimes on the ward for some considerable
- 14 period of time, and so typically there is a note of the
- day that the note refers to, the day of their admission,
- not just the date of examination. So you see the 22nd,
- that's day 7, and the ward round is being taken by
- 18 Dr Redmond and signed off by either his registrar or
- 19 SHO. And there you see the discharge note summary.
- 20 I should just say that there are two of Dr Redmond's
- 21 cases that we've been told are potentially within the
- 22 time frame. These are two of the ones that are being
- chased.
- 24 Then Dr Reid, the final one. This is a child
- 25 admitted to Allen Ward on the 22nd. Up at the top, you

- 1 see at 003:
- 2 "Medical SHO."
- 3 This is quite a lengthy note also, and it's signed
- 4 off by Dr Stevenson on 005. He sets out the plan. The
- 5 child is discharged on the 28th, which you see at 009,
- 6 and that is signed off by Dr Stewart.
- 7 THE CHAIRMAN: But this gives some idea of Dr Stevenson on
- 8 the 22nd and what he's covering.
- 9 MS ANYADIKE-DANES: Exactly.
- 10 Mr Chairman, that completes the 14. There is, as
- 11 I say, a 15th that we're looking for, and there are some
- 12 nursing notes, a page that's fallen off the edge where
- 13 we need a better photocopy and a colour copy of the one
- 14 that refers to Dr Steen with her initials, and I hope to
- 15 be in a position to provide those along with the other
- three.
- 17 THE CHAIRMAN: Thank you very much indeed.
- 18 MR FORTUNE: Sir, before my learned friend closes the file,
- 19 can I take both you and my learned friend back to
- 20 patient S8, back to page 005? My learned friend
- 21 questions when the two lines were written by Dr Steen.
- 22 With 005 open, if my learned friend turns over to 006,
- 23 there is the regular prescription chart. What is
- 24 missing is the nursing kardex as to the administration
- of any of these drugs. Could a search be made of the

- file, bearing in mind you're looking for the colour
- 2 copy, to see whether there is a nursing kardex and, if
- 3 so, could it be copied?
- 4 MS ANYADIKE-DANES: Yes, actually this is one of the very
- 5 few that retained the regular prescription because
- 6 we were trying to see if we could do the very thing that
- 7 I suspect you're interested in, which is to try and work
- 8 out when it is likely that was to have been prescribed
- 9 and therefore if that helps us with when that notation
- 10 was added. We couldn't pursue it much further than what
- 11 you have here, but I'll look again to make sure there's
- 12 no nursing note or nursing kardex that helps us with
- 13 that.
- 14 MR FORTUNE: Because, quite clearly, we're looking for the
- 15 timing of the prescription.
- 16 MS ANYADIKE-DANES: I understand that and we were looking
- for that at the time, but I will have another look.
- 18 MR FORTUNE: I'm grateful. Thank you.
- 19 MR COUNSELL: Can I raise one query relating to the very
- 20 last patient -- that's MR1 -- that very long entry from
- 21 Dr Stevenson, which has been partly redacted? If you
- 22 turn to page 005, my question is simply this: there is
- an entry which has been completely redacted apart from
- 24 his signature on that page. I wonder whether counsel to
- 25 the inquiry can indicate whether the date which has been

1	redacted is the 22nd or 23rd?
2	MS ANYADIKE-DANES: I suspect it's another day and that's
3	why it is. As you'll have appreciated as you go through
4	them, these clinical notes have multiple days on the
5	same page, but I will check that just to make sure
6	that is the case.
7	THE CHAIRMAN: Thank you very much indeed. You'll have got
8	some understanding of the extent of the exercise which
9	has been gone through over the last two weeks. It helps
10	to fill in the picture a bit further and how much
11	further we'll get, we'll see when we start with the
12	witnesses on Monday. So until 10 o'clock on Monday when
13	we'll at last start the evidence. Thank you.
14	(2.27 pm)
15	(The hearing adjourned until 10.00 on Monday
16	15 October 2012)
17	
18	
19	
20	
21	
22	
23	
24	
25	

1	I N D E X
2	Discussion
3	
4	Address by MS ANYADIKE-DANES22
5	
6	
7	
8	
9	
10	
11	
12	
13	
14	
15	
16	
17	
18	
19	
20	
21	
22	
23	
24	
25	